

## Computation of $\text{sup } C(k)$

- Remove "bad" states from  $G \parallel \bar{S}$

(a)  $z_0 := \bar{z} - z$ ,  $k=0$

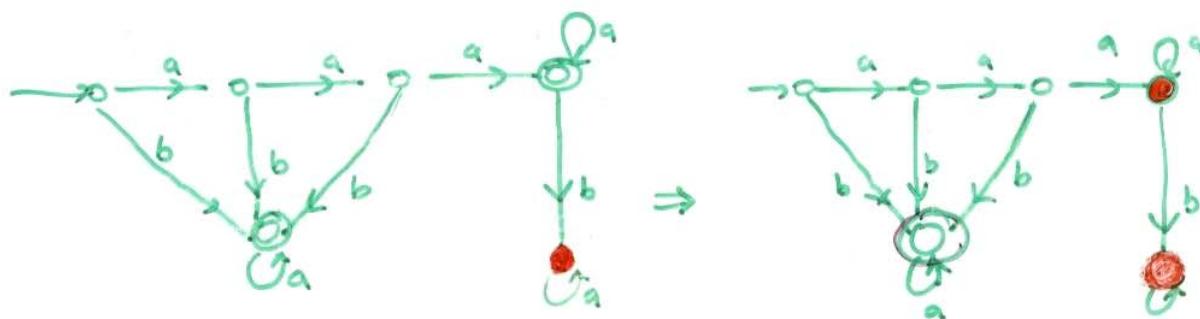
(b)  $z' := z_k \cup \{z \in \bar{z} - z_k \mid \exists u \in \Sigma^* \text{ s.t. } \bar{y}(z, u) \in z_k\}$

$z_{k+1} := z' \cup \{z \in \bar{z} - z' \mid z \text{ does not belong to trim component of } \bar{z} - z'\}$

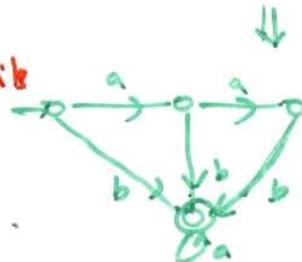
- (c) Stop when  $z_{k+1} = z_k$ ; delete these states; close  $k := k+1$ , goto (b).

complexity:  $O(m^2 n^2)$

- Example:

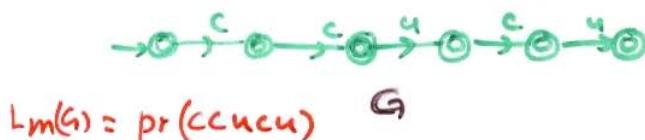


legal + uncontrollable  
↓ controllability fails  
illegal



Generator for  $\text{sup } C(k)$

- Example:



$$L_m(G) = \text{pr}(ccucu) \quad G$$



$$S \quad L_m(S) = \{\epsilon, c, cc, ccuc, cu, uc\}.$$



legal + (uncontrollable  $\vee$  blocking)  
↓ illegal



trim component

↓ Generator for  $\text{sup } C(k)$

## (relative)closed / Controllable / Language Classes

$$P(K) = \{H \subseteq K \mid \text{pr}(H) \subseteq H\}$$

$$\bar{P}(K) = \{H \supseteq K \mid \text{pr}(H) \subseteq H\}$$

$$R(K) = \{H \subseteq K \mid \text{pr}(H) \cap L(G) \subseteq H\}$$

$$\bar{R}(K) = \{H \supseteq K \mid \text{pr}(H) \cap L(G) \subseteq H\}$$

$$C(K) = \{H \subseteq K \mid \text{pr}(H) \Sigma_u \cap L(G) \subseteq \text{pr}(H)\}$$

$$\bar{C}(K) = \{H \supseteq K \mid \text{pr}(H) \Sigma_u \cap L(G) \subseteq \text{pr}(H)\}$$

$$PC(K) = P(K) \cap C(K); \quad RC(K) = R(K) \cap C(K); \quad \bar{PC}(K) = \bar{P}(K) \cap \bar{C}(K)$$

$$\bar{RC}(K) = \bar{R}(K) \cap \bar{C}(K)$$

Closure properties (under union & intersection):

	U-closed		I-closed	
P(K)	YES		YES	$\leftarrow \bar{P}(K)$
R(K)	YES		YES	$\leftarrow \bar{R}(K)$
C(K)	YES		NO	$\leftarrow \bar{C}(K)$
PC(K)	YES		YES	$\leftarrow \bar{PC}(K)$
RC(K)	YES		NO	$\leftarrow \bar{RC}(K)$

Example ① C(K) is closed under union

for each  $\lambda \in \Lambda$ , let  $H_\lambda$  be controllable, i.e.,  $\text{pr}(H_\lambda) \Sigma_u \cap L(G) \subseteq \text{pr}(H_\lambda)$

$$\text{Then, } \text{pr}\left(\bigcup_\lambda H_\lambda\right) \Sigma_u \cap L(G) = \left[\bigcup_\lambda \text{pr}(H_\lambda)\right] \Sigma_u \cap L(G)$$

$$= \bigcup_\lambda [\text{pr}(H_\lambda) \Sigma_u \cap L(G)]$$

$$\subseteq \bigcup_\lambda \text{pr}(H_\lambda) = \text{pr}\left[\bigcup_\lambda H_\lambda\right]$$

[This last step is where I fail.]

② C(K) is not closed under intersection:  $L(G) = \text{pr}[a^*ba^*], \Sigma_u = \{b\}$

$$K_1 = \{a, b, ab\}, \quad K_2 = \{a, ba, ab\} \Rightarrow K_1 \cap K_2 = \{a, ab\}$$

$K_1, K_2$  controllable, but  $K_1 \cap K_2$  not controllable.

③  $\bar{PC}(K)$  is I-closed:  $H_\lambda$  controllable & prefix closed  $\Rightarrow H_\lambda \Sigma_u \cap L(G) \subseteq H_\lambda$ .

$$\text{Also, } \text{pr}\left[\bigcap_\lambda H_\lambda\right] = \bigcap_\lambda \text{pr}(H_\lambda) = \bigcap_\lambda H_\lambda.$$

## (Relative-) closed / Controllable lang. classes

It follows that following languages exist

- $\sup P(K)$ ,  $\inf \bar{P}(K)$
- $\sup R(K)$ ,  $\inf \bar{R}(K)$
- $\sup C(K)$
- $\sup \bar{PC}(K)$ ,  $\inf \bar{PC}(K)$
- $\sup \bar{RC}(K)$

Notation:

$\sup \Leftrightarrow$  supremal sublanguage  
in given class

$\inf \Leftrightarrow$  infimal superlanguage in  
given class

Definition of  $\sup C(K)$ : (i)  $\sup C(K) \in C(K)$

(ii)  $H \in C(K) \Rightarrow H \subseteq \sup C(K)$ .

↑  
smaller than

Definition of  $\inf \bar{PC}(K)$ : (i)  $\inf \bar{PC}(K) \in \bar{PC}(K)$

(ii)  $H \in \bar{PC}(K) \Rightarrow H \supseteq \inf \bar{PC}(K)$ .

↑  
bigger than

We can also define maximals and minimals (besides  
supremal and infimal):

For example,  $\min \bar{RC}(K)$ : (i)  $\min \bar{RC}(K) \in \bar{RC}(K)$

(ii)  $H \in \bar{RC}(K) \Rightarrow H \neq \min \bar{RC}(K)$

( $\inf \Rightarrow$  min, since bigger  $\Rightarrow$  not smaller)  
( $\sup \Rightarrow$  max, since smaller  $\Rightarrow$  not bigger)

For  $\min \bar{RC}(K)$  to exist, if  $\{H_\lambda; \lambda \in \Lambda\}$  is a decreasing chain  
with  $H_\lambda \in \bar{RC}(K)$ , then  $\bigcap H_\lambda \in \bar{RC}(K)$ . But this does not  
hold!

Consider  $Lm(a) = a^* b$ ,  $L(a) = pr(a^* b)$ ,  $\bar{L}a = \{a\}$   
 $= a^*$ , controllable but not relative-closed.

Consider  $H_i = a^{>i} b$ , a decreasing chain, controllable & relative-closed  
 $\bigcap H_i = a^* = K$ !

## Computation of superval languages

$$1) \sup P(K) = K - (\Sigma^* - K) \Sigma^*$$

$$2) \sup R(K) = K - (L_m(G) - K) \Sigma^*$$

$$3) \sup C(K) = \left\{ \begin{array}{l} K_0 = K \\ K_{n+1} = K_n - \left[ (L_m(G) - pr(K_n)) / \Sigma_m^* \right] \Sigma^* \end{array} \right\}$$

algorithm already discussed

$$4) [K = pr(K)] \Rightarrow \sup C(K) = K - ((L(G) - K) / \Sigma_m^*) \Sigma^*$$

$$5) \sup P(K) = \sup C(\sup P(K)). \quad \text{Hint: Show } H \in P(K) \Rightarrow \sup C(H) \in P(K)$$

$$6) \sup R(K) = \sup C(\sup R(K)) \quad \text{Hint: Show } H \in R(K) \Rightarrow \sup C(H) \in R(K)$$

Show that  $H_n \in R(K) \forall n \geq 0$ .

$$1) \inf \bar{P}(K) = pr(K)$$

$$2) \inf \bar{R}(K) = pr(K) \cap L_m(G)$$

$$(HW3) \inf \bar{P}(K) = pr(K) \Sigma_m^* \cap L(G)$$

Note:  $\inf \bar{C}(K)$  &  $\inf \bar{R}(K)$  don't exist.

$$\text{Example: } [K = pr(K)] \Rightarrow \sup C(K) = K - \underbrace{\left( (L(G) - K) / \Sigma_m^* \right) \Sigma^*}_H$$

(i)  $H \subseteq K$  (obvious)

(ii)  $H \in C(K)$ : Since  $(H = K - L\Sigma^*, K \text{ prefix-closed}) \Rightarrow H \text{ prefix-closed}$  (HW)  
 Pick  $s \in H, g \in \Sigma_m^* \text{ s.t. } sg \in L(G)$ . Suppose for contradiction  $s \notin H$

Case I ( $sg \in K$ ): Then since  $sg \notin H \Rightarrow sg \in L\Sigma^*$

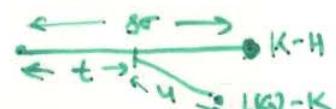
$$\Rightarrow \exists t \leq s \text{ s.t. } t \in L = ((G) - K) / \Sigma_m^*$$

$$\Rightarrow \exists u \in \Sigma_m^* \text{ s.t. } tu \in L(G) - K$$

$$\text{If } t = sg, \text{ then } tu = sg u \in L(G) - K$$

$$\Rightarrow \underset{g \in \Sigma_m^*}{\cancel{u}} \in \left[ (L(G) - K) / \Sigma_m^* \right] \subseteq L\Sigma^* \Rightarrow \cancel{u} \notin H \text{ } \textcircled{*}$$

$$\text{If } t \neq sg, \text{ then } t \leq s \text{ since } t \in ((G) - K) / \Sigma_m^* \Rightarrow s \in L\Sigma^* \Rightarrow s \in H$$



Case II ( $sg \notin K$ ):  $\Rightarrow sg \in L(G) - K$   
 $\Rightarrow g \in ((L(G) - K) / \Sigma_m^*) \subseteq L\Sigma^* \Rightarrow g \notin H \text{ } \textcircled{*}$

(iii)  $H' \in C(K) \Rightarrow H' \subseteq H$ . For contradiction pick  $s \in H' - H$ . Since  $H' \subseteq K$ ,  $s \in K$ .

Since  $s \notin H$ , and  $s \in K$ , we must have  $s \in L\Sigma^*$ .

$$\Rightarrow \exists t \leq s \text{ and } u \in \Sigma_m^* \text{ s.t. } tu \in L(G) - K \subseteq L(G) - H'(H')$$

Since  $t \in pr(H')$ , thus implies  $H'$  not controllable  $\textcircled{#}$

## Computation of supremal languages

$$\text{sup } PC(K) = \text{sup } C(\text{sup } P(K))$$

"modular computation of  $\text{sup } PC$ "

$$(1) \quad \text{sup } PC(K) \subseteq \text{sup } P(K) \Rightarrow \underbrace{\text{sup } C[\text{sup } P(K)]}_{\text{sup } PC(K)} \subseteq \text{sup } C(\text{sup } P(K))$$

(2) Since  $\text{sup } PC(K)$  is supremal prefix-closed & controllable sublang of  $K$ , suffice to show that  $\text{sup } C(\text{sup } P(K))$  is a prefix-closed & controllable sublang of  $K$ .

Obviously,  $\text{sup } C(\text{sup } P(K)) \subseteq K$ , and  $\text{sup } C(\text{sup } P(K))$  controllable.

Need to show,  $\text{sup } C(\text{sup } P(K))$  prefix-closed

Lemma:  $[H = \text{pr}(H)] \Rightarrow \text{sup } C(H)$  is prefix-closed, i.e.,  $\text{sup } C(H) = \text{pr}[\text{sup } C(H)]$

~~REMARK~~ consider  $\text{pr}[\text{sup } C(H)] \subseteq \text{pr}(H) \equiv H$

Moreover,  $\text{pr}[\text{sup } C(H)]$  is controllable (since  $\text{sup } C(H)$  is controllable).

thus,  $\text{pr}[\text{sup } C(H)]$  is a controllable sublang. of  $H \Rightarrow \text{pr}[\text{sup } C(H)] \subseteq \text{sup } C(H)$ .

$$\text{sup } RC(K) = \text{sup } C(\text{sup } R(K))$$

"modular computation of  $\text{sup } RC$ "

Just as above, suffice to show,  $\text{sup } C$  operation preserves relative-closure. This requires an inductive proof since  $\text{sup } C$  operation is iteratively computed: Show  $K_n$  is relative closed for each  $n \geq 0$ .

Claim:  $H \in R(K)$ , then  $H' = (H - L\Sigma^*) \in R(K)$ .

$$\begin{aligned} \text{pr}(H - L\Sigma^*) \cap L_m(S) &= \text{pr}(H) \cap (L\Sigma^*)^c \cap L_m(S) \\ &\subseteq \text{pr}(H) \cap \text{pr}[(L\Sigma^*)^c] \cap L_m(S) \end{aligned}$$

$$\subseteq H \cap \text{pr}[(L\Sigma^*)^c] \quad (H \in R(K)).$$

$$\begin{aligned} &= H \cap (L\Sigma^*)^c \quad ((\Sigma^*)^c \text{ is prefix-closed}) \\ &= (H - L\Sigma^*) \Rightarrow (H - L\Sigma^*) \in R(K). \end{aligned}$$

## Computation of superval languages

Example:  $\text{supc}(K) = \begin{cases} K_0 = K \\ K_{n+1} = K_n - \left[ \frac{(L(G) - \text{pr}(K_n)) / \Sigma_n^*}{\Sigma_n^*} \right] \Sigma^* \end{cases}$   
 $\subseteq (L(G) - \text{pr}(K_n) / \Sigma_n^*) \Sigma^* \Leftrightarrow \exists t \leq n, u \in \Sigma_n^* \text{ s.t. } tu \in L(G) - \text{pr}(K_n)$ .  
 Suppose termination occurs at step  $m$ , i.e.,  $K_m = K_m - \left[ \frac{(L(G) - \text{pr}(K_m)) / \Sigma_m^*}{\Sigma_m^*} \right] \Sigma^*$

(i)  $K_m \subseteq K$  (obvious)

(ii)  $K_m \in C(K)$ : Pick  $\delta \in \text{pr}(K_m), \sigma \in \Sigma_m$  s.t.  $\sigma\delta \in L(G) \xrightarrow{?} \sigma\delta \in \text{pr}(K_m)$

(iii)  $H \in C(K) \Rightarrow H \subseteq K_m$  (Hint: Show  $H \subseteq K_m$  for each  $n \geq 0$ )

ij)  $K_m = K_m - \left[ \frac{(L(G) - \text{pr}(K_m)) / \Sigma_m^*}{\Sigma_m^*} \right] \Sigma^* \Leftrightarrow K_m \cap (L(G) - \text{pr}(K_m) / \Sigma_m^*) \Sigma^* = \emptyset \quad \oplus$

Suppose for contradiction,  $\sigma\delta \notin \text{pr}(K_m) \Rightarrow \sigma\delta \in L(G) - \text{pr}(K_m)$

$\Rightarrow \delta \in (L(G) - \text{pr}(K_m) / \Sigma_m^*)$

Since  $\delta \in \text{pr}(K_m) \Rightarrow \exists t \geq 1$  s.t.  $t\delta \in K_m$   
 and so,  $t\delta \in K_m \cap (L(G) - \text{pr}(K_m) / \Sigma_m^*) \Sigma^* \rightarrow$  contradiction to  $\oplus$

iii)  $H \subseteq K_0 \cap K$  (base step trivially holds);  $H \subseteq K_n \xrightarrow{?} H \subseteq K_{n+1} = K_n - \left[ \frac{(L(G) - \text{pr}(K_n)) / \Sigma_n^*}{\Sigma_n^*} \right] \Sigma^*$

Suppose for contradiction,  $\exists \delta \in H - K_{n+1}$ . Then since  $\delta \in K_n$  (induction hypothesis),

$\delta \in (L(G) - \text{pr}(K_n) / \Sigma_n^*) \Sigma^* \subseteq (L(G) - \text{pr}(K_n) / \Sigma_n^*) \Sigma^* \quad (\text{since } H \subseteq K_n)$

$\Rightarrow \exists t \leq n, u \in \Sigma_n^* \text{ s.t. } tu \in L(G) - \text{pr}(H)$ , since  $\delta \in H \subseteq \text{pr}(H)$ , this implies

$H$  not contradictions  $\oplus$

Note about proof uses:  $[\text{pr}(K) \Sigma_n \cap L(G) \subseteq \text{pr}(K)] \Leftrightarrow [\text{pr}(K) \Sigma_n^* \cap L(G) \subseteq \text{pr}(K)]$

Prove this as h.w. (Hint:  $\text{pr}(K) \Sigma_n^n \cap L(G) \subseteq \text{pr}(K)$ ,  $\forall n > 0$ ).