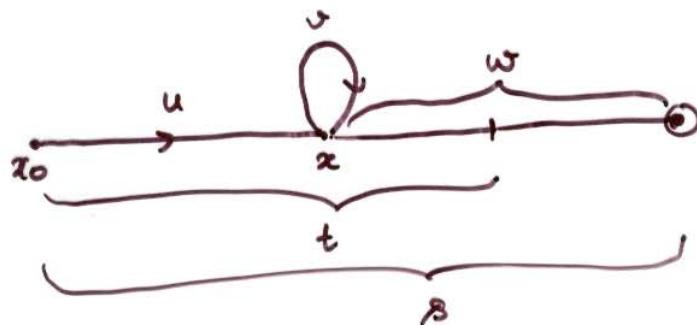


## Regular Properties of Languages

- Closure properties: Regularity preserved under choice, concatenation, Kleene Closure (by definition).
- $K_1, K_2$  regular  $\Rightarrow K_1 \cap K_2$  regular (consider  $G_1 \cap G_2$ )
- $K$  regular  $\Rightarrow K^c$  regular (consider  $(\bar{G})^c$ )
- Pumping Lemma:  $K$  regular  $\Rightarrow \exists m$  such that  $\forall s \in K, |s| \geq m$ :  
 $s = uvw$  with  $|u| \leq m$ ,  $|v| \geq 1$ , and  $uv^i w \in K$  for each  $i$ .

Proof: DFSM  $G$  s.t.  $Lm(G) = K$ . Set  $m = |x|$ .

Pick  $s \in K$  with  $|s| \geq m$ . Let  $t \leq s$  be such that  $|t| = m$ .  
 $\Rightarrow$  execution of  $t$  visits at least one state twice.  $x$  be 1st such state.



- Application of Pumping Lemma:  $K = \{a^i b^i \mid i \geq 1\}$  is not-regular.

Proof: Suppose for contradiction  $K$  is regular. Pick  $s = a^m b^m \in K$ , where  $m$  as in pumping lemma.

So  $s = a^m b^m = uvw$ ,  $|uvw| \leq m$ ,  $|v| \geq 1$ ,  $uv^i w \in K$

$|uvw| \leq m \Rightarrow uv \leq a^m \Rightarrow u = a^j, v = a^k$ ,  $j+k \leq m$ ,  $k \geq 1$

$$\text{so } w = a^{m-(j+k)} b^m.$$

Choose  $i = 2m$ . Then  $uv^i w = a^j a^{2km} a^{m-(j+k)} b^m = a^{2km+m-k} b^m$ .

Since  $m-k \geq 0$  &  $k \geq 1$ ,  $2km+m-k \geq 2m$ , a contradiction.

## Review of equivalence relation

Given a set  $X$ , a relation  $R$  on  $X$  is a subset  $R \subseteq X \times X$

$(x, y) \in R$ , then  $x$  related to  $y$ , written  $x R y$

Example:  $X = \mathbb{N}$ , set of naturals,  $x R y$  iff  $x \bmod 5 = y \bmod 5$

$$4R9, 9R14, 2R0$$

$R$  is equivalence relation if (i) reflexive:  $x R x$   
(ii) symmetric:  $x R y \Rightarrow y R x$   
(iii) transitive:  $x R y, y R z \Rightarrow x R z$ .

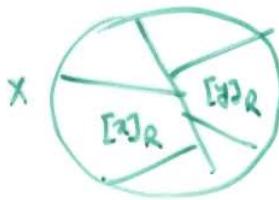
Example:  $\bmod 5$  relation is an equivalence  
"brother" relation is transitive but not symmetric

An equivalence relation  $R$  denoted by  $\cong_R$ .

Equivalence class or coset  $[x]_R \subseteq X$  if  $x \in X := \{y \mid y \cong_R x\}$

Example:  $[4]_{\bmod 5} = \{4 + 5k \mid k \in \mathbb{N}\} = \{4, 9, 14, 19, 24, \dots\}$

Then: The set of all equivalence classes  $\{[x]_R \mid x \in X\}$  form a partition of  $X$



- (i)  $\bigcup_{x \in X} [x]_R = X$  covers  $X$  (obvious)  
(ii)  $[x]_R \neq [y]_R \Rightarrow [x]_R \cap [y]_R = \emptyset$  different  $\Rightarrow$  pairwise disjoint

Suppose for contradiction,  $[x]_R \cap [y]_R \neq \emptyset$ .

Pick  $z \in [x]_R \cap [y]_R \Rightarrow z \cong_R x \wedge z \cong_R y \Rightarrow x \cong_R y \Rightarrow [x]_R = [y]_R$ .

Example:  $[0]_{\bmod 3} = \{0, 3, 6, 9, \dots\}$   
 $[1]_{\bmod 3} = \{1, 4, 7, 10, \dots\}$   $\Rightarrow$  pair-wise disjoint  
 $[2]_{\bmod 3} = \{2, 5, 8, 11, \dots\}$

$$[0]_{\bmod 3} \cup [1]_{\bmod 3} \cup [2]_{\bmod 3} = \mathbb{N} \Rightarrow$$
 covers  $\mathbb{N}$

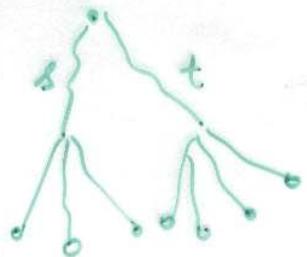
Index of  $\cong_R$  is m. of distinct equivalence classes of  $\cong_R$ .

## Myhill-Nerode Characterization

- Another characterization of regular languages
- Equivalence relation on  $\Sigma^*$  induced by  $K$ :

$$[\delta \cong t (R_K)] \Leftrightarrow [K \setminus \{\delta\} = K \setminus \{t\}]$$

$$\Leftrightarrow [\forall u \in \Sigma^*: \delta u \in K \Leftrightarrow t u \in K]$$



Note:  $\delta \cong t (R_K) \Rightarrow \forall u: \delta u \cong t u (R_K)$   
 $R_K$  is "right invariant" (wrt concatenation)

- Equivalence relation on  $\Sigma^*$  induced by  $G$ :

$$[\delta \cong t (R_G)] \Leftrightarrow [\alpha(x_0, \delta) = \alpha(x_0, t)] \vee [\alpha(x_0, \delta), \alpha(x_0, t) \text{ undefined}]$$

Note:  $\delta \cong t (R_G) \Rightarrow [\delta \cong t (R_{L(G)})] \wedge [\delta \cong t (R_{Lm(G)})]$   
 $R_G$  "refines"  $R_{L(G)}$  and  $R_{Lm(G)}$

- Example:  $K = (ad)^*$

$$[\epsilon] (R_K) = (ad)^*$$

$$[a] (R_K) = a(ad)^*$$

$$[d] (R_K) = \Sigma^* - \text{pr}(K)$$

## Myhill-Nerode Characterization

Thm: The following are equivalent:

1.  $K$  is regular
2.  $K$  is union of some equivalence classes of a right-invariant equivalence relation of finite index
3.  $R_K$  is finite index

Pf: (1  $\Rightarrow$  2) Let  $G$  be a DFGM with  $Lm(G) = K$ .

$$K = \{[s](R_G) \mid s \in K\} \Rightarrow R_G \text{ is the required equivalence}$$

(2  $\Rightarrow$  3) Suppose  $R$  is the given equivalence relation.

Suffices to show that  $R$  refines  $R_K$ , i.e.,  $s \equiv t \pmod{R} \Rightarrow s \equiv t \pmod{R_K}$

$$\begin{aligned} s &\equiv t \pmod{R} \\ \Rightarrow \forall u: su &\equiv tu \pmod{R} \\ \Rightarrow \forall u: su \in K &\Leftrightarrow tu \in K \\ \Rightarrow s &\equiv t \pmod{R_K} \end{aligned}$$

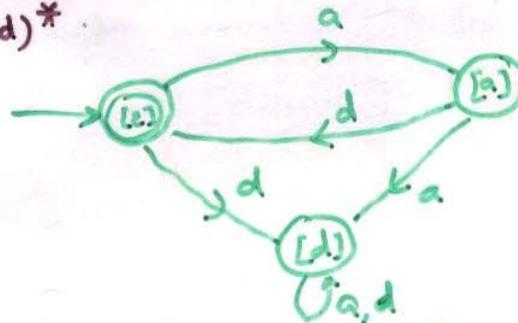
( $R$  right-inv.)  
( $K$  union of equivalence classes of  $R$ )

(3  $\Rightarrow$  1) Construct a DFGM  $G$ :

$$\begin{aligned} X &= \{[s](R_K) \mid s \in \Sigma^*\} \\ X_m &= \{[s](R_K) \mid s \in K\} \\ x_0 &= [\epsilon](R_K) \\ \alpha([s](R_K), \sigma) &= [s\sigma](R_K) \end{aligned}$$

This implies: # of states in minimal DFGM  $\leq |R_K| - 1$

Example:  $K = (ad)^*$



$$\begin{aligned} [\epsilon]C_{R_K} &= (ad)^* \\ [a](R_K) &= a(ad)^* \\ [d](R_K) &= \Sigma^* - pr(K). \end{aligned}$$

Remark: # of states in minimal DFGM for  $K = |R_K| - 1$ .

(since  $R_G$  refines  $R_{Lm(G)}$   $\Rightarrow$  (# of states in  $G$ ) + 1  $\geq |R_K|$   
 $\Rightarrow$  # of states in minimal DFGM  $\geq |R_K| - 1$ )

## Algorithms for Regular languages.

Emptiness:  $K = \emptyset$ ? Construct DFSA  $G$  such that  $L_m(G) = K$ .

$[K = \emptyset] \Leftrightarrow [Reg_G(x_0) \cap X_m = \emptyset]$ , where  
 $Reg_G(x_0)$  = set of reachable states from  $x_0$ .

Containment:  $[K_1 \subseteq K_2] \Leftrightarrow [K_1 \cap K_2^c = \emptyset]$ .

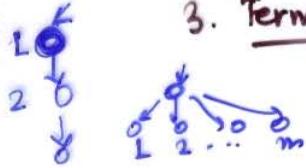
### Algorithm for Computing Reachability:

1. Initiation Step:  $Reg_G^{-1}(x_0) := \emptyset$ ;  $Reg_G^0(x_0) = \{x_0\}$ ;  $k = 0$

2. Iteration Step:

$$Reg_G^{k+1}(x_0) := Reg_G^k(x_0) \cup \left\{ x \in X - Reg_G^k(x_0) \mid \exists x' \in Reg_G^k(x_0) - Reg_G^{k-1}(x_0), r \in \Sigma : \alpha(x', r) = x \right\}$$

3. Termination step:  $Reg_G^{k+1}(x_0) = Reg_G^k(x_0)$ , then  $Reg_G(x_0) = Reg_G^k(x_0)$ , stop;  
else  $k = k+1$ , goto step 2.



Complexity:  $G$  has  $m$  states  $\Rightarrow O(m)$  steps to compute  $Reg_G(x_0)$ .

$$f = O(g) \text{ if } f(\bullet) \leq c g(\bullet)$$

Definitions:  $G$  is called accessible if  $Reg_G(x_0) = X$   
 $G$  is called co-accessible if  $Reg_G(x) \cap X_m = \emptyset \quad \forall x \in X$ .

trim = accessible + co-accessible.

- It is always possible to find a lang-equivalent trim SM for  $G$ .
- minimal SM must be trim.

### Algorithm for SM: minimization.

- Follows from Myhill-Nerode Characterization that a trim DFSM G is minimal iff

$$[\forall \delta : (\alpha(x, \delta), \alpha(x', \delta)) \in X_m \times X_m] \Rightarrow [x = x']$$

- Aggregate x and x' if they are unequal.
- Consider a trim DFSM G with  $L_m(G) \neq \Sigma^*$  (otherwise minimal is trivial)

Construct  $\bar{G} = (\bar{X}, \Sigma, \bar{\alpha}, x_0, X_m)$

Then a pair of states  $(x, x') \in X_m \times (\bar{X} - X_m)$  must not be aggregated.

Initialize:  $A_0 := (X_m \times X_m) \cup (\bar{X} - X_m \times \bar{X} - X_m)$  pairs which can be aggregated

$B_0 := (\bar{X} \times \bar{X}) - A_0$  pairs which must not be aggregated

$k := 0$

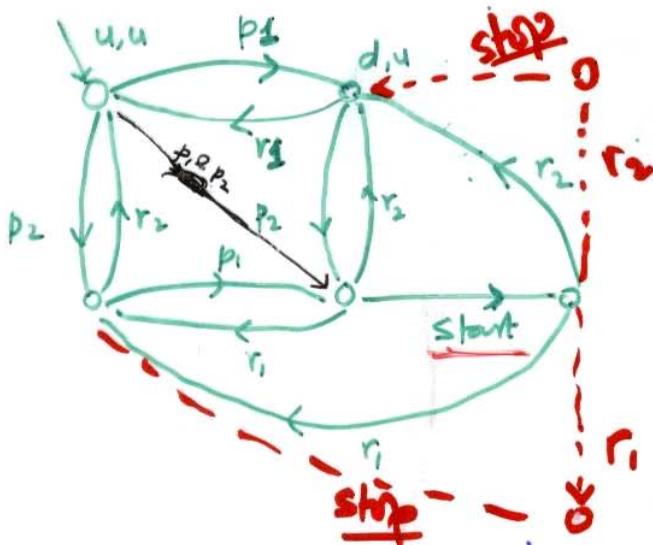
Iteration:  $A_{k+1} := A_k - \{(\alpha(x, r), \alpha(x', r)) \mid \exists r \text{ s.t. } (\alpha(x, r), \alpha(x', r)) \in B_k\}$

$B_{k+1} := (\bar{X} \times \bar{X}) - A_{k+1}$

Termination: Stop if  $A_{k+1} = A_k$ ; else  $k := k+1$  and iterate.

## "Button tie-down problem"

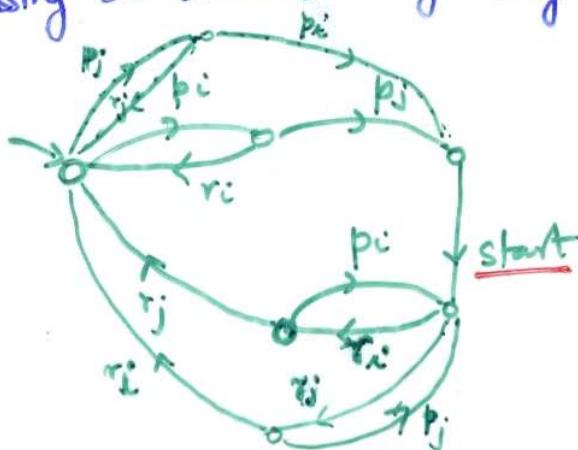
- Safety feature provided in "potentially hazardous machines" (saw mill, die press) by requiring machine be only allowed to start when both hands are pressing a push-button (can't start machine by one hand)



$p_i$  = push<sub>i</sub>  
 $r_i$  = release<sub>i</sub>  
 $u$  = "up"  
 $d$  = "down"

uncontrolled plant

- Spec: Successive start events must be blocked unless separated by execution of both the push-button events (cannot start the machine by taping down one button, and pressing the other thus by using only one hand)



desired specification

Source: "Basic Control Systems Eng.", Prentice Hall, 1997