

## Finite State Machines

- $G$  is a finite SM if  $|X| < \infty$ .

Notations: E-NFSM, NFSM, DFSM.

- Language model equivalence of E-NFSM and NFSM:

Given E-NFSM  $G$ , construct NFSM  $G' := (X, \Sigma, \alpha', x_0, x'_m)$

$$\alpha'(x, \sigma) := E_G^* (\alpha (E_G^*(x), \sigma)) = \alpha^*(x, \sigma)$$

$$x'_m := \begin{cases} x_m \cup x_0 & \text{if } x_m \cap E_G^*(x_0) \neq \emptyset \\ x_m & \text{otherwise.} \end{cases}$$

Then  $L_m(G') = L_m(G)$ ;  $L(G') = L(G)$ .

Example:



- Language model equivalence of NFSM and DFSM:

Given NFSM  $G := (X, \Sigma, \alpha, x_0, x_m)$ , construct DFSM

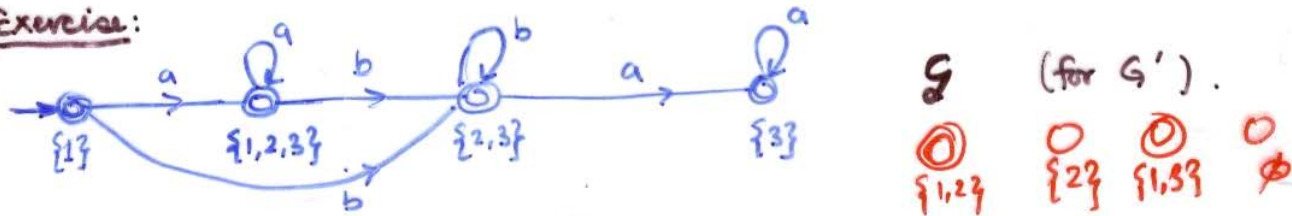
$$G := (X, \Sigma, \hat{\alpha}, \{x_0\}, X_m)$$

$$X := 2^X, X_m := \{\hat{x} \in X \mid \hat{x} \cap X_m \neq \emptyset\}$$

$$\hat{\alpha}(\hat{x}, \sigma) := \bigcup_{z \in \hat{x}} \alpha(z, \sigma)$$

Then  $L_m(\hat{G}) = L_m(G)$  and  $L(\hat{G}) = L(G)$ . Known as power set construction.

Exercise:



- Remark: A deterministic DES with finite states can always be modeled as a DFSM.

## Regular Languages

- We will now characterize the class of languages that can be represented by FSMs. (useful for development of algorithms).

• Regular Class ( $\mathcal{L}_R$ ):  $\emptyset, \{\epsilon\}, \{\sigma\} \in \mathcal{L}_R \subseteq 2^{\Sigma^*}$   
 $K, K_1, K_2 \in \mathcal{L}_R \Rightarrow K_1 + K_2, K_1 \cdot K_2, K^* \in \mathcal{L}_R$ .

For simplicity of notation, regular expressions are used for regular langs.

- $\emptyset, \epsilon, \sigma$  are regular expressions
- $r_1, r_2$  regular expressions  $\Rightarrow r_1 + r_2, r_1 r_2, r^*$  regular expressions.

Example:  $(a+b)^*$ ,  $a^*b$ , etc. are regular  
 $a$ ,  $a + 3$  not regular.

Given a DFSA  $G$ , there exists a regular exp.  $r$  such that  $L(r) = L_m(G)$ .  
Conversely, given regular exp.  $r$ , there exists DFSA  $G$  s.t.  $L_m(G) = L(r)$ .

Proof: ( $\Rightarrow$ ) Label states of  $G$  by  $1, \dots, m$ . Define regular expressions inductively for each  $i, j \leq m$ :

$$r_{ij}^0 = \begin{cases} \bigcup_{\{\sigma \in \Sigma \mid \alpha(i, \sigma) = j\}} \sigma & \text{if } i \neq j \\ \left( \bigcup_{\{\sigma \in \Sigma \mid \alpha(i, \sigma) = j\}} \sigma \right)^* + \epsilon & \text{otherwise} \end{cases}$$

$$r_{ij}^k = r_{ik}^{k-1} (r_{kk}^{k-1})^* r_{kj}^{k-1} + r_{ij}^{k-1} \quad \forall k \leq m$$

Then  $L(r_{ij}^k)$  = set of strings starting from  $i$ , ending at  $j$ , and visiting states with label no larger than  $k$ .

Clearly,  $L(r_{ij}^k)$  is regular and  $L_m(G) = L\left(\bigcup_{j \in X_m} r_{1j}^m\right)$ .

Regular language: Equivalence with DFSM (ctnd.)

( $\Leftarrow$ ) Since DFSM is "lang. equivalent" to  $\epsilon$ -NFSM, it suffices to show existence of an  $\epsilon$ -NFSM  $G$  with  $L_M(G) = L(r)$ . Shown by induction on number of operations in  $r$ .

base step: # of operations = 0

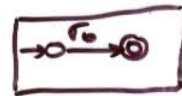
$\Rightarrow r = \phi$ , or  $r = \epsilon$ , or  $r = \sigma_0$  for some  $\sigma_0 \in \Sigma$ .



$r = \phi$

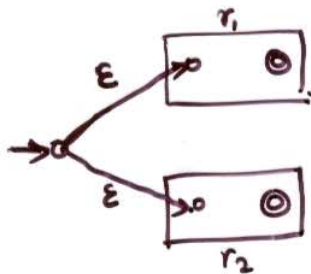


$r = \epsilon$

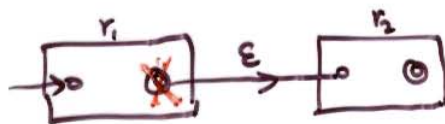


$r = \sigma_0$

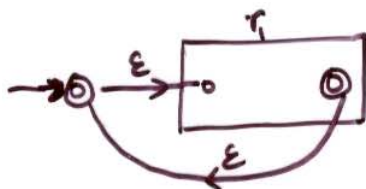
Induction step: Suppose  $r = r_1 + r_2$ ; then



Next suppose  $r = r_1 \cdot r_2$ ; then



Finally suppose  $r = r_1^*$ ; then



- Corollary: Given a language model  $(K_m, K)$ , there exists a DFSM  $G$  such that  $(L_M(G), L(G)) = (K_m, K)$  iff  $K_m$  and  $K$  both are regular.



## Regular language: Equivalence with DFSM

Example:



$$L_m(G) = L(r_{11}^2)$$

$$r_{11}^0 = \epsilon$$

$$r_{12}^0 = a$$

$$r_{21}^0 = d$$

$$r_{22}^0 = \epsilon$$

$$r_{11}^1 = r_{11}^0 (r_{11}^0)^* r_{11}^0 + r_{11}^0 = \epsilon (\epsilon)^* \epsilon + \epsilon = \epsilon$$

$$r_{12}^1 = r_{11}^0 (r_{11}^0)^* r_{12}^0 + r_{12}^0 = \epsilon (\epsilon)^* a + a = a$$

$$r_{21}^1 = r_{21}^0 (r_{11}^0)^* r_{11}^0 + r_{21}^0 = d (\epsilon)^* \epsilon + d = d$$

$$r_{22}^1 = r_{21}^0 (r_{11}^0)^* r_{12}^0 + r_{22}^0 = d (\epsilon)^* a + \epsilon = da + \epsilon$$

$$r_{11}^2 = r_{12}^1 (r_{22}^1)^* r_{21}^1 + r_{11}^1 = a (da + \epsilon)^* d + \epsilon = a(da)^* d + \epsilon = (ad)^*$$

Definitions:  $Re_G(x) =$  set of reachable states from  $x$  in  $G$   
 $= \{x' \in X \mid \exists s \in \Sigma^* \text{ s.t. } x' \in \delta^*(x, s)\}$ .

•  $G$  is called accessible if  $Re_G(x_0) = X$

•  $G$  is called co-accessible if  $\forall x \in X : Re_G(x) \cap X_m \neq \emptyset$

•  $G$  is trim if it is accessible + co-accessible.

• It is always possible to construct a language equivalent trim state machine for any given state machine.