# SE 329 – Software Project Management

# Intellectual Property Essentials

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### What is a Patent?

- A bargain with the federal government inventors receive a period of exclusivity in exchange for full disclosure
- Covers processes, machines, manufactures, compositions of matter, or any new and useful improvement thereof
- Obtained by filing a patent application and successfully arguing with the patent office that it is patentable and paying fees
- Protection can last for 20 years from the first filing date

# **Utility Patents**

- A "regular" patent protects structural or functional features of an invention
- Contains a specification that ends with one or more claims that define the scope of what is protected and drawings
- Goes through an examination process
- Protection can last for 20 years from the first filing date
- Software patents and business methods the USPTO hates them!

# **Provisional Applications**

- A "place holder" application does not get granted as a U.S.
   Patent
- Anything you can file as a utility patent can be filed as a provisional application
- Can be filed quickly and inexpensively
  - \$260 for large entity
  - \$130 for small entity
  - \$65 for micro entity
- Good for last minute filing situations
- Must be converted within 1 year from filing to keep it alive

# **Design Patents**

- Protects the ornamental design for an article of manufacture, not structural or functional features
- Protection lasts 14 years from issue

### United States Patent Office

Des. 210,767 Patented Apr. 16, 1968

210,767 CUP

Leonard R. Anglada, Arlington Heights, Ill., assignor to Illinois Tool Works Inc., Chicago, Ill., a corporation of Delaware

> Filed Feb. 23, 1967, Ser. No. 5,915 Term of patent 14 years (Cl. D9—220)

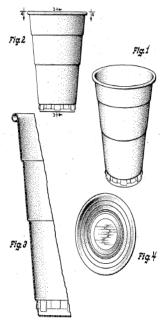


FIG. 1 is a perspective view of a cup showing my

FIG. 2 is a side elevational view thereof;

FIG. 3 is an enlarged fragmentary sectional view of my new cup as viewed along lines 3—3 of FIG. 2; and FIG. 4 is a top plan view of my new cup as viewed along lines 4—4 of FIG. 2.

The essential features of the design are shown in full lines and reside primarily in the proportional relationship of approximately 1:1-1/2:2 between the three frustoconical areas of differing axial dimensions.

I claim: The ornamental design for a cup, as shown and described.

References Cited

UNITED STATES PATENTS

D. 159,599 8/1950 Chaplin \_\_\_\_\_\_\_ D44D. 204,783 5/1966 Johnson \_\_\_\_\_\_ D443,091,360 5/1963 Edwards.

JOEL STEARMAN, Primary Examiner,

### Trademark

- Identifies the source of goods or services
- Obtained through registration or common law
- The mark must be used to get the protection
- Registration lasts 10 years, can be renewed for 10year periods (different rule for older marks)



# TM



### **Trademark Tools & Links**



#### Search Trademark Database

Search database for trademark registrations and applications by mark, owner, or serial/registration number with Trademark Electronic Search System (TESS)

### Filing Online

File forms for the trademark application, maintenance and other trademark processes with Trademark Electronic Application System (TEAS)

### ✓ TS

### Check Status & View

Check the status of an application and view and download application and registration records using Trademark Status and Document Retrieval (TSDR)

### ESTTA TTABVUE

# Trademark Trial and Appeal Board (TTAB)

Trademark Trial and Appeal Board News and Notices ... Public Meeting: Report Out on the comments to the TTAB's Notice of Proposed Rullemaking: On June 24, 2016, the USPTO will...

# Copyright

- Protects original works of authorship
- Obtained through registration or common law
- Protection lasts for life of author + 70 years (or up to 95 years from first publication or 120 years from creation for works made for hire)

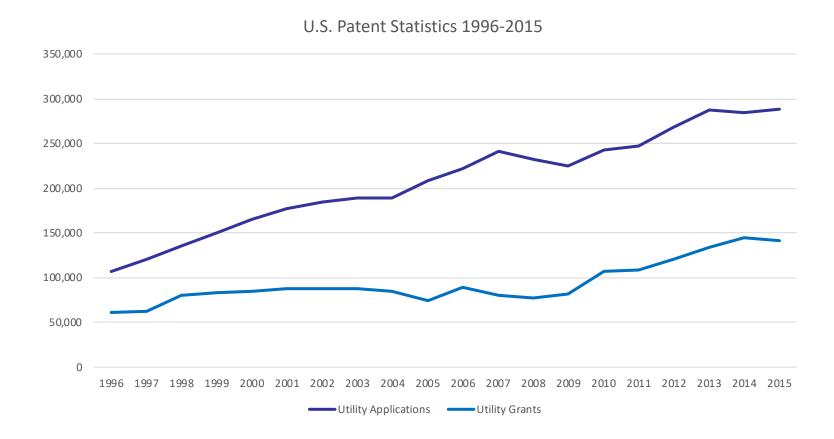




### Trade Secret

- Protects anything that derives value from being kept secret
- No registration process
- Protection lasts as long as you keep it a secret

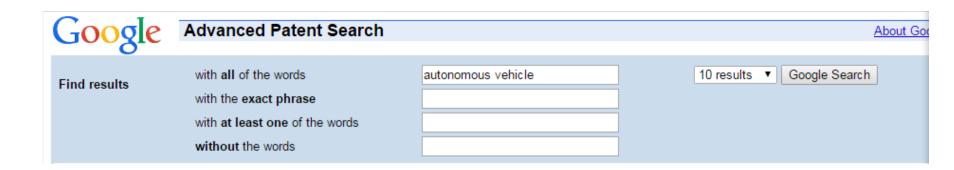
# Patent Trends

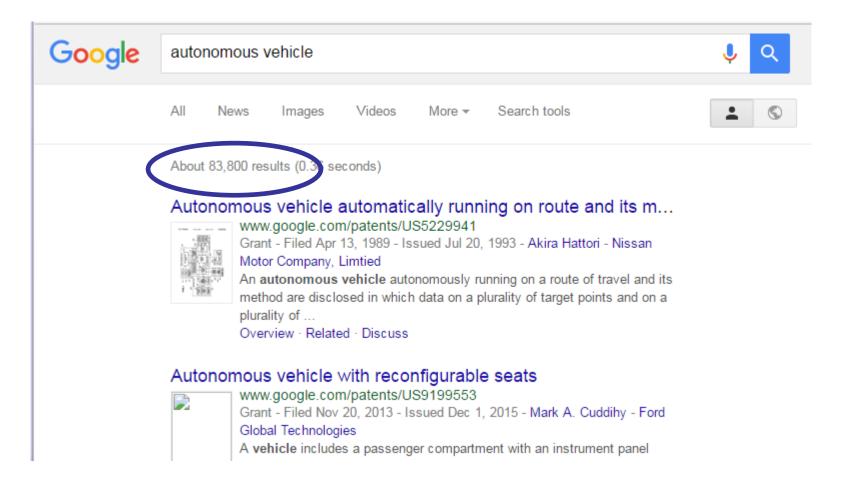


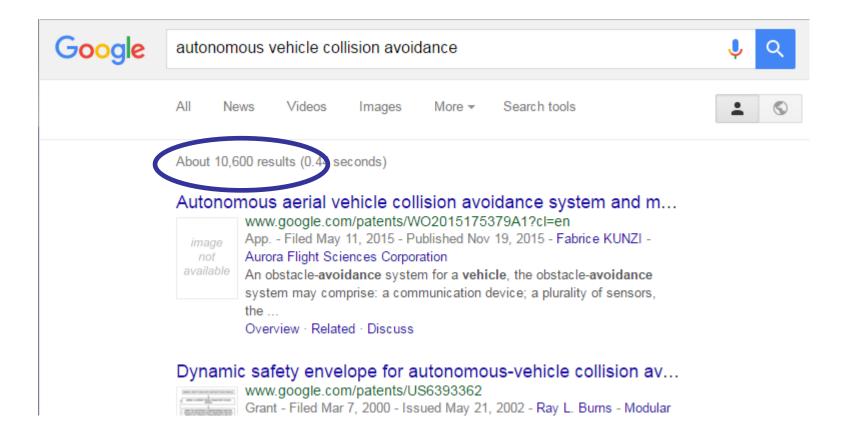
Source: https://www.uspto.gov/web/offices/ac/ido/oeip/taf/us\_stat.htm

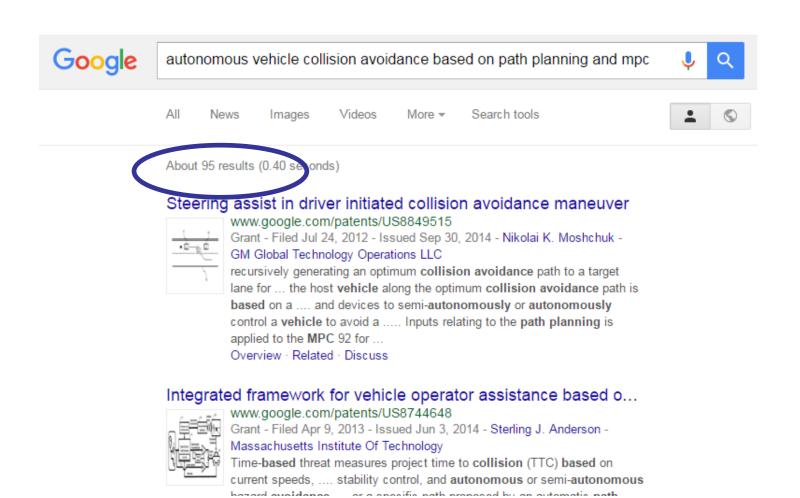
# Performing a Patent Search

- Start small: try to find a small number (1 or 2, no more than 10) of very relevant patents using a keyword search.
- Example: I want to find patents pertaining to autonomous vehicles, so I do the following search:









. ,	United States Patent Moshchuk et al.	(10) Patent No.: US 8,849,515 B2 (45) Date of Patent: Sep. 30, 2014	
(54)	STEERING ASSIST IN DRIVER INITIATED COLLISION AVOIDANCE MANEUVER	005/0216182 A1* 9/2005 Hussain et al	
(75)	Inventors: Nikolai K. Moshchuk, Grosse Pointe, MI (US); Shih-Ken Chen, Troy, MI (US); Chad T. Zagorski, Clarkston, MI (US); Aamrapali Chatterjee, Okemos, MI (US)	008/0046145         A1*         2/2008         Weaver et al.         701/41           008/0172156         A1*         7/2008         Joh et al.         701/45           008/0208408         A1*         8/2008         Arbitmann et al.         701/41           008/0319610         A1*         12/2008         Oechsle et al.         701/41           009/0099728         A1*         4/2009         Ichinose et al.         701/39           009/0125225         A1*         5/2009         Hussain et al.         701/200           009/0322500         A1*         12/2009         Chatterjee et al.         340/435	
(73)	Assignee: GM Global Technology Operations LLC, Detroit, MI (US)	010/0030426 A1* 2/2010 Okita	
(*)	Notice: Subject to any disclaimer, the term of this patent is extended or adjusted under 35 U.S.C. 154(b) by 77 days.	012/0083947     A1*     4/2012     Anderson et al.     701/3       012/0101713     A1*     4/2012     Moshchuk et al.     701/30       012/0139715     A1*     6/2012     Yamazato     340/436       012/0239252     A1*     9/2012     Sawada et al.     701/41	
(21)	Appl. No.: 13/556,471	013/0030651 A1 * 1/2013 Moshchuk et al. 701/41 013/0124041 A1 * 5/2013 Belser et al. 701/41 013/0166150 A1 * 6/2013 Han et al. 701/42	
(22)	Filed: Jul. 24, 2012	* cited by examiner	
(65)	Prior Publication Data	Primary Examiner — Calvin Cheung	
	US 2014/0032049 A1 Jan. 30, 2014	Assistant Examiner — Krishnan Ramesh	
(51) (52)	Int. Cl.  B62D 6/00 (2006.01)  B62D 5/00 (2006.01)  U.S. Cl.  USPC	(57) ABSTRACT  A collision avoidance system for assisting a driver in avoiding a collision between a host vehicle and obstacle. A processor recursively calculates a time-to-collision with the obstacle and an optimal collision avoidance path for avoiding the collision. The optimum collision avoidance path is recursively generated based on a position and speed of the host vehicle relative to the obstacle and an updated calculated time-to-collision. A sensing device determines whether the driver of the vehicle has initiated a steering maneuver to avoid the obstacle. A steering assist mechanism maintains the host vehicle along the optimum collision avoidance path. The	
(58)	340/435; 340/436  Field of Classification Search CPC		
(56)	References Cited		
	U.S. PATENT DOCUMENTS  5,765,116 A * 6/1998 Wilson-Jones et al	steering assist mechanism applies a steering assist torque for producing steering adjustments to assist in guiding the host vehicle along the optimum collision avoidance path to the	

target lane. The steering assist torque generated by the steer-

ing assist mechanism is recursively adjusted based on a recent

18 Claims, 5 Drawing Sheets

updated optimum collision avoidance path.

8,437,890 B2 \* 5/2013 Anderson et al. ...... 701/3

8,605,947 B2 \* 12/2013 Zhang et al. ...... 382/104

2004/0090117 A1 \* 5/2004 Dudeck et al. ...... 303/191

#### PATENT CITATIONS

Cited Patent	Filing date	Publication date	Applicant	Title
US5765116 *	Sep 19, 1996	Jun 9, 1998	Lucas Industries Public Limited Company	Driver assistance system for a vehicle
US5870303 *	Oct 14, 1994	Feb 9, 1999	Philips Electronics North America Corporation	Method and apparatus for controlling maneuvers of a vehicle
US8437890 *	Jul 15, 2010	May 7, 2013	Massachusetts Institute Of Technology	Integrated framework for vehicle operator assistance based on a trajectory prediction and threat assessment
US8605947 *	Oct 19, 2009	Dec 10, 2013	GM Global Technology Operations LLC	Method for detecting a clear path of travel for a vehicle enhanced by object detection
US20040090117 *	Jul 12, 2001	May 13, 2004	Ingo Dudeck	Automatic brake and steering system and method for a vehicle
US20040193351 *	Feb 23, 2004	Sep 30, 2004	Nissan Motor Co., Ltd.	Automatic brake system for a vehicle
US20040193374 *	Mar 28, 2003	Sep 30, 2004	Hac Aleksander B.	Collision avoidance with active steering and braking
US20050115753 *	Jan 9, 2003	Jun 2, 2005	Safeguard Technology Limited	Automated vehicle steering and braking
US20050216182 *	Jun 24, 2004	Sep 29, 2005	Hussain Talib S	Vehicle routing and path planning
US20070080825 *	Sep 5, 2004	Apr 12, 2007	Zvi Shiller	Method and system for providing warnings concerning an imminent vehicular collision
US20070288133 *	Jun 11, 2007	Dec 13, 2007	Nissan Motor Co., Ltd.	Obstacle avoidance path computing apparatus, obstacle avoidance path computing method, and obstacle avoidance control system equipped with obstacle avoidance path computing system
US20080046145 *	Aug 17, 2006	Feb 21, 2008	Weaver Richard A	Collision prediction and mitigation method for a vehicle
US20080172156 *	Jan 16, 2007	Jul 17, 2008	Ford Global Technologies, Inc.	Method and system for impact time and velocity prediction
US20080208408 *	Mar 13, 2008	Aug 28, 2008	Continental Teves Ag & Co. Ohg	Method and device for performing a collision avoidance maneuver

### REFERENCED BY

Citing Patent	Filing date	Publication date	Applicant	Title
US9182761 *	Aug 23, 2012	Nov 10, 2015	Nissan Motor Co., Ltd.	Autonomous driving control system for vehicle
US9212926 *	Nov 22, 2013	Dec 15, 2015	Ford Global Technologies, Llc	In-vehicle path verification
US9230443 *	Mar 19, 2013	Jan 5, 2016	Ford Global Technologies, Llc	Method and system for predictive vehicle systems performance selection for enhanced maneuverability
US20140222278 *	Aug 23, 2012	Aug 7, 2014	Nissan Motor Co., Ltd.	Autonomous driving control system for vehicle
US20140288775 *	Mar 19, 2013	Sep 25, 2014	Ford Global Technologies, Llc	Method and system for predictive vehicle systems performance selection for enhanced maneuverability
US20150149088 *	Nov 22, 2013	May 28, 2015	Ford Global Technologies, Llc	In-vehicle path verification

<sup>\*</sup> Cited by examiner

- Go through the forward/backward references for the relevant documents you find.
- Once you are satisfied with your list, take a look at the classifications. Which ones keep showing up?

## Where do I find the Patent Class?

#### CLASSIFICATIONS

U.S. Classification	701/42, 701/41, 340/435, 701/96, 340/436, 701/302		
International Classification	B62D6/00, B62D5/00		
Cooperative Classification	G08G1/167, G08G1/165, B62D15/0265, G08G1/166		

- (21) Appl. No.: 13/556,471
- (22) Filed: Jul. 24, 2012
- (65) Prior Publication Data

US 2014/0032040 A1 Jan 30 2014

### (51) Int. Cl. B62D 6/00 (2006.01) B62D 5/00 (2006.01)

(52) U.S. Cl.

(58) Field of Classification Search

CPC ...... B62D 15/0265; G08G 1/16 See application file for complete search history.

### (56) References Cited

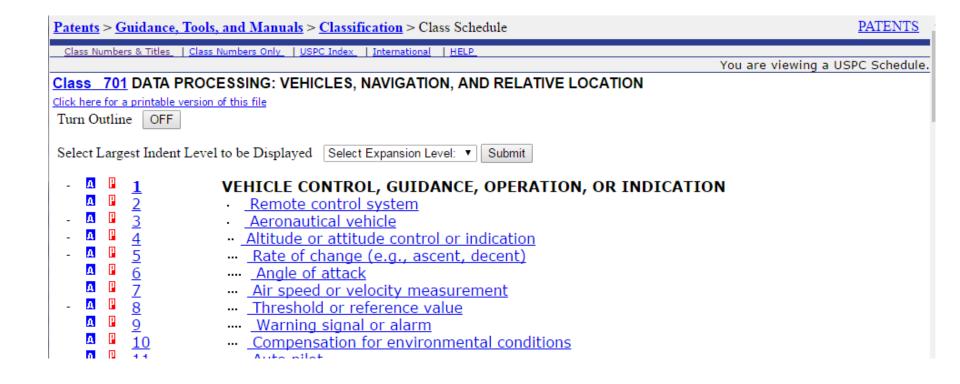
#### U.S. PATENT DOCUMENTS

5,765,116	A *	6/1998	Wilson-Jones et al	701/41
5,870,303	A *	2/1999	Trovato et al	700/61
8,437,890	B2 *	5/2013	Anderson et al	701/3
8,605,947	B2 *	12/2013	Zhang et al	382/104
2004/0090117	A1°	5/2004	Dudeck et al	303/191
2004/0193351	Al*	9/2004	Takahashi et al	701/70
2004/0193374	A1°	9/2004	Hac et al	701/301
2005/0115753	A1°	6/2005	Pemberton et al	180/167

# Patent Searching by Class

Google	Advanced Patent Search	About Google
Find results	with all of the words with the exact phrase with at least one of the words without the words	10 results ▼ Google Search
Patent number	Return patents with the patent number	
Title	Return patents with the patent title	
Inventor	Return patents with the inventor name	First name, last name, or both
Original Assignee	Return patents with the original assignee name	First name, last name, or both
Current U.S. Classification	Return patents with the current U.S. classification	Comma separated list of one or more classification codes.
International Classification	Return patents with the international classification	Comma separated list of one or more classification codes.
Cooperative Classification	Return patents with the cooperative classification	Comma separated list of one or more classification codes.
Patent type/status	Return patents with type/status	Any type/status ▼
Date	<ul> <li>Return patents anytime</li> <li>Return patents between ▼ and ▼</li> <li>e.g. 1999 and 2000, or Jan 1999 and Dec 2000</li> </ul>	
Restrict date by	Restrict by filing date     Restrict by issue date	19

# More Patent Searching by Class



### 42 Feedback, transfer function or proportional and derivative (P&D) control:

This subclass is indented under <u>subclass 41</u>. Steering control wherein the electrical <u>data processing</u> system or calculating computer utilizes a response signal corresponding to the status of the steering system, to regulate or monitor the steering operation or where the system shifts from proportional control to a combination of proportional and derivative control.

# How do I Know When I Am Done Searching?

- If you search the entire relevant class, you can feel pretty good about the thoroughness of your search.
- Circularity is another sign that you are "done."
- There is no guarantee that you have found everything.
- You are under no obligation to do a search.
  - Doing a search can give you valuable information about how crowded your field is, how likely you are to need a license, or whether your invention is patentable.
  - Doing a search can potentially lead to issues later on.

# Reading a Patent – CLAIMS

1. A steering wheel angle detecting system for detecting changes in steering wheel position during times when an ignition switch is powered off, the system comprising:

a steering wheel angle sensor;

an electric generator coupled to and providing power to the steering wheel angle sensor upon occurrence of steering wheel movement during times when the ignition switch is powered off;

a controller; and

memory for storing changes in the steering wheel angle sensor.

- The system as claimed in claim 1 whereby the electric generator further comprises an electric motor.
- The system as claimed in claim 2 whereby the electric motor is in a electric power steering system.

# What to Take to a Meeting With a Patent Attorney/Agent

- They want to know:
  - What is the problem to be solved?
  - How have others solved the problem?
  - How do you solve the problem, and how is it different from what others have done?
  - Unexpected results?
  - Have you told anyone about your idea, tried to sell it, or otherwise disclosed information about it to others? When did that happen?
- Things that are helpful:
  - Lab notebooks
  - Drawings, videos, models, prototypes, etc.
  - Any write-up you may have that answers the above questions.

# Non-disclosure/Confidentiality Agreements

- Defines confidential information, and indicates what can be done with it
- Recommended before talking to third parties about non-public information
- If you plan to disclose something that you may want to patent, consider filing a patent application before talking to the third party (can be a provisional)

## Indemnification

- Be wary of indemnification clauses in agreements.
- Be especially wary of indemnification clauses that involve intellectual property infringement.
- Big companies will likely insist on keeping their indemnification language, but you may be able to get them to agree to *knowing* infringement.

# **Employment Agreements**

- Some employers ask new employees to agree to assign everything they invent during employment to the employer.
- Assignment is a transfer of ownership. A document is signed and recorded with the USPTO.
- Be aware of what you are signing. Will it encompass things you invent at home on your own time?
- Don't use employer facilities, equipment, etc. to pursue your independent efforts. This helps your case if the employer tries to claim an obligation to assign your independent IP to them.

# **Open Source Software**

- Read license agreements carefully!
- Copyleft: By combining certain open source software with proprietary software, the proprietary software might have to be made public under an open source license similar to the one used for the open source software. (GPL is an example)

### For more information

- USPTO U.S. Patent and Trademark Office: <u>www.uspto.gov</u>
- U.S. Copyright office: www.copyright.gov
- Google advanced patent search:
   <a href="https://www.google.com/advanced\_patent\_search">https://www.google.com/advanced\_patent\_search</a>
- USPTO patent class schedule: <u>https://www.uspto.gov/web/patents/classification/selectnumwithtitle.htm</u>

Any Question?