# Non-Rectangular Shaping and Sizing of Soft Modules for Floorplan Design Improvement 

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#### Abstract

Many previous works on floorplanning with non-rectangular modules $[1,2,3,4,5,6,7,8,9,10,11$, 12] assume that the modules are pre-designated to have particular non-rectangular shapes, e.g., L-shaped, T-shaped, etc. However, this is not common in practice because rectangular shapes are more preferable in many designing steps. Those non-rectangular shapes are actually generated during floorplanning in order to further optimize the solution. In this paper, we study this problem of changing the shapes and dimensions of the flexible modules to fill up the unused area of a preliminary floorplan, while keeping the relative positions between the modules unchanged. This feature will also be useful in fixing small incremental changes during ECO modifications. We formulate the problem as a mathematical program. The formulation is such that the dimensions of all the rectangular and non-rectangular modules can be computed by closed form equations in $O(m)$ time where $m$ is the total number of edges in the constraint graphs. As a result, the total time for the whole shaping and sizing process is $O(k \times m)$ time where $k$ is the number of iterations on the Lagrangian Relaxation Subproblem. Experimental results show that the amount of area re-used is $3.7 \%$ on average while the total wirelength can be reduced by $0.43 \%$ on average because of the more compacted result packing.


## 1 Introduction

A lot of previous works have then been reported on fborplanning with non-rectangular blocks [2, 6, 3, $4,7,8,9,5,10,11,12,13]$. The papers [14, 6] extend the Polish expression representation for slicing fborplans to handle L-shaped and T-shaped modules. The works on non-slicing fborplans are mostly based on the Bounded Sliceline Grid (BSG) structure [3, 4, 7, 8, 9] or the Sequence Pair (SP) representation [5, $11,10,12]$. Most of these works explore the rules to restrict the placement of the rectangular sub-blocks of a rectilinear module, so that these sub-blocks will be placed adjacent to one another in an appropriate way to form back its original rectilinear shape in the fi nal packing. However, in all these previous works, it is assumed that some modules are pre-designated to have particular non-rectangular shapes, e.g., T-shaped or L-shaped, etc., but this is not common in practice since rectangular shapes are more preferable in many designing steps. They are easier to be managed not only in fborplanning, but also in downstream pin assignment, placement, routing and timing analysis. Non-rectangular shapes are often considered only when their shapes can improve the fborplan solution.

We can perform a preliminary fborplan design with all the soft-blocks in rectangular shapes at the beginning. After a preliminary fborplan is obtained based on the important criteria like interconnect delay, routing congestion and area, etc., we can allow the fexible modules to change in shapes and dimensions slightly to further improve the fborplan solution as a post-processing step, while keeping the relative spatial
relationships between the modules unchanged. By keeping the adjacency and closeness relationship between the modules unchanged, the effects of this step on the original optimization in interconnect is little while the area usage can be improved by allowing the fexible modules to change in shapes in the best fit way to fill up the unused area. The total interconnect length can usually be reduced by this post-processing step because of the more compacted result packing. It is true that re-using all the empty spaces may not be good sometimes because empty spaces will be useful for buffer insertion or for other routing purposes. However, the selection of soft modules and empty spaces can be made by the users according to their needs and our method allows the users to further optimize a fborplan solution in a fexible way. This technique will also be useful in fi xing small and incremental changes during ECO modifi cations.

In this paper, we formulate the problem as a mathematical program on fborplanning. Moh et. al [15] formulated the fborplanning problem as a geometric program and find its global minimum using some standard convex optimization techniques. Murata et. al [16] extend the work of [15] to non-slicing fborplan and try to reduce the number of variables and functions when formulating the problem so as to improve the effi ciency. However, the execution time of their method to fi nd an exact solution is still very long, and they consider soft rectangular modules only. In our formulation, all the fexible modules can change in dimension under their area and aspect ratio (width to height ratio) constraints. Those lying in the neighborhood of an empty space can change in shape (to become non-rectangular) to fill up the unused area in the best fit way. We use the Lagrangian relaxation technique $[17,18]$ to solve the problem. The formulation is such that the dimensions of all the rectangular and non-rectangular modules can be computed by closed form equations in $O(m)$ time where $m$ is the total number of edges in the constraint graphs. As a result, the total time for the whole shaping and sizing process is $O(k \times m)$ time where $k$ is the number of iterations on the Lagrangian Relaxation Subproblem. The paper [16] has also used convex-programming to solve the fborplanning problem with soft blocks and pre-placed blocks.

We tested our method using some MCNC benchmarks. For each data set, a preliminary fborplan is fi rst generated with an objective to optimize both the interconnect cost and total chip area. We then apply our mathematical programming technique to change the fexible modules in shapes and dimensions to fill up the empty spaces.

The rest of this paper is organized as follow: We will defi ne the problem in the next section. Section three will give an overview of our approach and our formulation of the problem as a mathematical program. We will explain in details the Lagrangian relaxation technique and the optimality conditions to help solving the problem effi ciently in section four. Experimental results will be shown in section fi ve, and remarks and conclusion will be given in the last section.

## 2 Problem Definition

In this problem, we are given a preliminary fborplan design, and our goal is to change the shapes and dimensions of some fexible modules to fill up the empty spaces, while keeping the module areas constant and the original spatial relationships between the modules unchanged. A simple example is shown in Figure 1. In this example, the packing on the left is a given preliminary fborplan and our goal is to change the shapes and dimensions of the flexible modules to fill up the empty spaces. The final packing is shown on the right in which module 2 and 7 becomes L-shaped after this post-processing step. There are two kinds of input modules: hard modules and soft modules. A hard module is a module whose dimension is fi xed. A soft module is one whose area is fi xed but its shape and dimension can be changed as long as its aspect ratio (and
the aspect ratio of its sub-blocks if there is any) is within a given range. We are given $n$ modules of areas $A_{1}, A_{2}, \ldots, A_{n}$, their aspect ratio bounds $\left[r_{1}, s_{1}\right],\left[r_{2}, s_{2}\right], \ldots,\left[r_{n}, s_{n}\right]$ and their initial dimensions. (In case of a hard module, its minimum and maximum aspect ratio will be the same.) We are also given the netlist information: $n e t_{1}$, net $_{2}, \ldots, n e t_{m}$ and the relative positions of the I/O pins $p_{1}, p_{2}, \ldots, p_{q}$ along the boundary of the chip. For each net $n e t_{i}$ where $1 \leq i \leq m$, we are given its weight, the I/O pin and the set of modules it is connected to.

(a) Preliminary floorplan. Deadspace $=2.78 \%$

(b) After sizing and shaping. Deadspace $=0 \%$

Figure 1: A simple example of changing the shapes and dimensions of fexible modules to fill up empty spaces.

A packing of a set of modules is a non-overlap placement of the modules. We measure the area of a packing as the area of the smallest rectangle enclosing all the modules. A feasible packing is a packing in which the widths and heights of all the modules and their sub-blocks (if there is any) satisfy their aspect ratio constraints and their area constraints. For example, if a soft module $i$ is L -shaped, the dimensions of its two sub-blocks can be changed as long as their aspect ratios are within the given bounds and their total area is equal to $A_{i}$. A preliminary fborplan is given in the form of a pair of vertical and horizontal constraint graphs. Our objective is to change the shapes and dimensions of the soft modules to fill up the unused area, while keeping the relative positions between the modules as described by the constraint graphs unchanged. (Notice that if a module becomes non-rectangular in shape, its spatial relationship with the other modules will be measured with respect to its main block, i.e., its largest sub-block.) The problem is defi ned formally as follows:

## Problem Floorplanning with Shaping and Sizing (FP/SS)

Given a preliminary floorplan design in the form of a pair of horizontal and vertical constraint graphs, and a set of hard and soft modules with their initial dimensions and their areas and aspect ratio constraints, change the shapes (from rectangular to non-rectangular) and dimensions of the soft modules to reduce the total area of the floorplan such that the relative positions between the modules (as described by the constraint graphs) are maintained and all the area and aspect ratio constraints are satisfied.

## 3 An Overview of Our Approach

We are given a preliminary fborplan of a set $R$ of $n$ modules $M_{1}, M_{2}, \ldots, M_{n}$ with areas $A_{1}, A_{2}, \ldots, A_{n}$ respectively. For each module $M_{i} \in R$, the minimum and maximum aspect ratios are $r_{i}$ and $s_{i}$ respectively.

The preliminary fborplan is given as a pair of constraint graphs $G_{h}$ and $G_{v}$ together with the initial dimensions of the modules. From this information, we can determine the packing, the positions of the unused area and the positions of the modules. We will then select some soft modules that lie in the neighborhood of some empty spaces into a set $S$. These selected modules are eligible to become non-rectangular in shape. An example is shown in Figure 2. In this example, module $A$ and $B$ are selected, and they can be changed to non-rectangular in shape to fill up the unused spaces (Figure 2(b)). Every module in this set $S$ will have one additional sub-block of variable size. The constraint graphs $G_{h}$ and $G_{v}$ will also be updated (become $G_{h}^{\prime}$ and $G_{v}^{\prime}$ ) to include these new sub-blocks. New edges will be added to the constraint graphs to restrict the positions of these sub-blocks so that they will fill up the empty spaces and will abut with their corresponding main blocks. Figure 3 shows the changes made to the constraint graphs for the example in Figure 2. Notice that every selected module $M_{k} \in S$ will have one sub-block $M_{k}^{\prime}$ but the area of the sub-block may become zero at the end and the selected module will then remain rectangular if this can optimize the design better.

(a) Preliminary floorplan
(b) After sizing and shaping

Figure 2: Module $A$ and $B$ are selected to be eligible to be changed into non-rectangular in shape to fill up the empty spaces.


Figure 3: Modify the constraint graphs to include the new sub-blocks and their associated edges.
After selecting a set of modules into $S$ and modifying the constraint graphs correspondingly, we can treat the sub-blocks as individual soft modules. (They will automatically abut with their main blocks because of
the constraint edges added into the constraint graphs.) Let the size of $S$ be $p$, i.e., $p$ modules are selected to be possibly changed to non-rectangular in shape. W.l.o.g., we assume that module $M_{1}, M_{2}, \ldots, M_{p}$ are in $S$ and their corresponding sub-blocks are $M_{n+1}, M_{n+2}, \ldots, M_{n+p}$. Let $S^{\prime}$ denote this set of sub-blocks. Now, we have a new set $R^{\prime}$ of total $n^{\prime}=n+p$ modules $M_{1}, M_{2}, \ldots, M_{n^{\prime}}$. Consider the packing topology described by the constraint graphs $G_{h}^{\prime}$ and $G_{v}^{\prime}$. Let $x_{i}$ denote the smallest $x$ position of the lower left corner of module $M_{i}$ satisfying all the horizontal constraints in the horizontal constraint graph $G_{h}^{\prime}$. Similarly, $y_{i}$ denotes the smallest $y$ position of the lower left corner of module $M_{i}$ satisfying all the vertical constraints in the vertical constraint graph $G_{v}^{\prime}$. Then for each edge $e(i, j)$ from $M_{i}$ to $M_{j}$ in $G_{h}^{\prime}$, we have the following constraint:

$$
x_{i}+w_{i} \leq x_{j}
$$

where $w_{i}$ is the width of $M_{i}$. Similarly, for each edge $e(i, j)$ from $M_{i}$ to $M_{j}$ in $G_{v}^{\prime}$, we have the following constraint:

$$
y_{i}+h_{i} \leq y_{j}
$$

For each module $M_{i} \in R-S$, i.e., a rectangular module, the following relationship between $w_{i}$ and $h_{i}$ holds:

$$
h_{i}=A_{i} / w_{i}
$$

For each module $M_{i} \in S$, i.e., a non-rectangular module, we have a constraint on the total area of $M_{i}$ and its sub-block $M_{n+i}$ :

$$
w_{i} h_{i}+w_{n+i} h_{n+i}=A_{i}
$$

In the horizontal constraint graph $G_{h}^{\prime}$, we denote the set of sources and sinks by $s_{h}$ and $t_{h}$ respectively where a source is a vertex without any in-coming edge and a sink is a vertex without any out-going edge. Similarly, we use $s_{v}$ and $t_{v}$ to denote the set of sources and sinks in $G_{v}^{\prime}$ respectively. Then for each $M_{i}$ in $s_{h}$ and $M_{j}$ in $s_{v}$ :

$$
\begin{aligned}
& x_{i}=0 \\
& y_{j}=0
\end{aligned}
$$

For simplicity, we add one dummy vertex labeled $n^{\prime}+1$ to each of $G_{h}^{\prime}$ and $G_{v}^{\prime}$. The dummy vertices in $G_{h}^{\prime}$ and $G_{v}^{\prime}$ represent the rightmost and topmost boundary of the chip respectively. Edge $e\left(i, n^{\prime}+1\right)$ with weight $w_{i}$ is added to $G_{h}^{\prime}$ for each $M_{i} \in t_{h}$ because the rightmost chip boundary should be at a distance of at least $w_{i}$ from each module $M_{i} \in t_{h}$. Similarly, $e\left(i, n^{\prime}+1\right)$ with weight $h_{i}$ is added to $G_{v}^{\prime}$ for each $M_{i} \in t_{v}$. From now onwards, we assume that the constraint graphs $G_{h}^{\prime}$ and $G_{v}^{\prime}$ contain these additional vertices and edges. The problem can be formulated as the following mathematical program $P P$ (Primal Problem):

$$
\begin{array}{lll}
\text { Minimize: } & x_{n^{\prime}+1} y_{n^{\prime}+1} & \\
\text { Subject to: } & x_{i}+w_{i} \leq x_{j} & \forall e(i, j) \in G_{h}^{\prime} \\
& y_{i}+h_{i} \leq y_{j} & \forall e(i, j) \in G_{v}^{\prime} \tag{B}
\end{array}
$$

$$
\begin{array}{ll}
w_{i} h_{i}=A_{i} & \forall p+1 \leq i \leq n \\
w_{i} h_{i}+w_{n+i} h_{n+i}=A_{i} & \forall 1 \leq i \leq p \\
r_{i} h_{i} \leq w_{i} & \forall 1 \leq i \leq n+p \\
w_{i} \leq s_{i} h_{i} & \forall 1 \leq i \leq n+p \tag{F}
\end{array}
$$

## 4 Solving the Problem by Lagrangian Relaxation

We will apply the Lagrangian relaxation technique [17] to solve the primal problem $P P$. Lagrangian relaxation is a general technique for solving constrained optimization problems. Constraints that are diffi cult to handle are "relaxed" and incorporated into the objective function by multiplying each constraint with a constant called Lagrange multipler. To solve the problem $P P$, we relax the constraints (A) and (B). Let $\lambda_{i, j}$ denotes the multiplier for the constraint $x_{i}+w_{i} \leq x_{j}$ in (A), and $\mu_{i, j}$ denotes the multiplier for the constraint $y_{i}+h_{i} \leq y_{j}$ in (B). Let $\vec{\lambda}$ and $\vec{\mu}$ be vectors of all the Lagrange multipliers introduced. Then the Lagrangian relaxation subproblem associated with the multipliers $\vec{\lambda}$ and $\vec{\mu}$, denoted by $L R S /(\vec{\lambda}, \vec{\mu})$, becomes:

$$
\begin{array}{lll}
\text { Minimize: } & x_{n^{\prime}+1} y_{n^{\prime}+1}+\sum_{e(i, j) \in G_{h}^{\prime}} \lambda_{i, j}\left(x_{i}+w_{i}-x_{j}\right)+\sum_{e(i, j) \in G_{v}^{\prime}} \mu_{i, j}\left(y_{i}+h_{i}-y_{j}\right) \\
\text { Subject to: } & w_{i} h_{i}=A_{i} & \forall p+1 \leq i \leq n \\
& w_{i} h_{i}+w_{n+i} h_{n+i}=A_{i} & \forall 1 \leq i \leq p \\
& r_{i} h_{i} \leq w_{i} & \forall 1 \leq i \leq n+p \\
& w_{i} \leq s_{i} h_{i} & \forall 1 \leq i \leq n+p
\end{array}
$$

Let $Q(\vec{\lambda}, \vec{\mu})$ denote the optimal value of the problem $L R S /(\vec{\lambda}, \vec{\mu})$. We defi ne the Lagrangian dual problem $L D P$ of $P P$ as follows:

| Maximize: | $Q(\vec{\lambda}, \vec{\mu})$ |
| :--- | :--- |
| Subject to: | $\vec{\lambda} \geq 0$ and $\vec{\mu} \geq 0$ |

Notice that $P P$ is a geometric program [19]. It can be transformed into a convex problem. Hence, if $\vec{\lambda}$ and $\vec{\mu}$ is the optimal solution of $L D P$, then the optimal solution of $L R S /(\vec{\lambda}, \vec{\mu})$ will also optimize $P P$ [17, Theorem 6.2.4]. In the following, we will show how to solve $L R S /(\vec{\lambda}, \vec{\mu})$ and $L D P$ optimally. In other words, $P P$ also is solved optimally.

### 4.1 Simplification of the Lagrangian Relaxation Subproblem

The Lagrangian relaxation subprogram $L R S /(\vec{\lambda}, \vec{\mu})$ can be greatly simplifi ed by the Kuhn-Tucker conditions [17, 18]. Consider the Lagrangian $\zeta$ of $P P$ [17]:

$$
\begin{aligned}
\zeta= & x_{n^{\prime}+1} y_{n^{\prime}+1}+\sum_{e(i, j) \in G_{h}^{\prime}} \lambda_{i, j}\left(x_{i}+w_{i}-x_{j}\right)+\sum_{e(i, j) \in G_{v}^{\prime}} \mu_{i, j}\left(y_{i}+h_{i}-y_{j}\right) \\
& + \text { terms independent of } x_{i}^{\prime} s \text { and } y_{i}^{\prime} s
\end{aligned}
$$

The Kuhn-Tucker conditions imply that $\partial \zeta / \partial x_{i}=0$ and $\partial \zeta / \partial y_{i}=0$ for all $1 \leq i \leq n^{\prime}+1$ at the optimal solution of $P P$. Therefore, in searching for the multipliers to optimize $L D P$, we only need to consider
those multipliers such that $\partial \zeta / \partial x_{i}=0$ and $\partial \zeta / \partial y_{i}=0$ hold for all $1 \leq i \leq n^{\prime}+1$. We obtain the following conditions by rearranging the terms in $\zeta$ and taking derivatives:

$$
\begin{align*}
\sum_{e(j, i) \in G_{h}^{\prime}} \lambda_{j, i} & =\sum_{e(i, j) \in G_{h}^{\prime}} \lambda_{i, j}  \tag{1}\\
\sum_{e(j, i) \in G_{v}^{\prime}} \mu_{j, i} & =\sum_{e(i, j) \in G_{v}^{\prime}} \mu_{i, j} \tag{2}
\end{align*}
$$

for all $1 \leq i \leq n^{\prime}$, and

$$
\begin{align*}
y_{n^{\prime}+1} & =\sum_{e\left(i, n^{\prime}+1\right) \in G_{h}^{\prime}} \lambda_{i, n^{\prime}+1}  \tag{3}\\
x_{n^{\prime}+1} & =\sum_{e\left(i, n^{\prime}+1\right) \in G_{v}^{\prime}} \mu_{i, n^{\prime}+1} \tag{4}
\end{align*}
$$

We use $\Omega$ to denote the set of $(\vec{\lambda}, \vec{\mu})$ satisfying the above relationships (1) - (4) for the given pair of horizontal and vertical constraint graphs $G_{h}^{\prime}$ and $G_{v}^{\prime}$. When $(\vec{\lambda}, \vec{\mu}) \in \Omega$, the objective function $F$ of $L R S /(\vec{\lambda}, \vec{\mu})$ becomes:

$$
F=k+\sum_{1 \leq i \leq n+p}\left(\left(\sum_{e(i, j) \in G_{h}^{\prime}} \lambda_{i, j}\right) w_{i}+\left(\sum_{e(i, j) \in G_{v}^{\prime}} \mu_{i, j}\right) h_{i}\right)
$$

where $k=-\left(\sum_{e\left(i, n^{\prime}+1\right) \in G_{h}^{\prime}} \lambda_{i, n^{\prime}+1}\right)\left(\sum_{e\left(i, n^{\prime}+1\right) \in G_{v}^{\prime}} \mu_{i, n^{\prime}+1}\right)$ is a constant for a fi xed pair of $\vec{\lambda}$ and $\vec{\mu}$. Let $\lambda_{i}=\sum_{e(i, j) \in G_{h}^{\prime}} \lambda_{i, j}$ and $\mu_{i}=\sum_{e(i, j) \in G_{v}^{\prime}} \mu_{i, j}$, for $1 \leq i \leq n+p$. Then $L R S /(\vec{\lambda}, \vec{\mu})$ can be simplifi ed to:

$$
\begin{array}{lll}
\text { Minimize: } & \sum\left(\lambda_{i} w_{i}+\mu_{i} h_{i}\right) & \\
\text { Subject to: } & w_{i} h_{i}=A_{i} & \forall p+1 \leq i \leq n \\
& w_{i} h_{i}+w_{n+i} h_{n+i}=A_{i} & \forall 1 \leq i \leq p \\
& r_{i} h_{i} \leq w_{i} & \forall 1 \leq i \leq n+p \\
& w_{i} \leq s_{i} h_{i} & \forall 1 \leq i \leq n+p
\end{array}
$$

To solve this simplifi ed Lagrangian relaxation subproblem, we fi rst write down its Lagrangian $\xi$ :

$$
\begin{aligned}
\xi= & \sum_{1 \leq i \leq n+p}\left(\lambda_{i} w_{i}+\mu_{i} h_{i}\right)+\sum_{p+1 \leq i \leq n} \theta_{i}\left(w_{i} h_{i}-A_{i}\right)+\sum_{1 \leq i \leq p} \sigma_{i}\left(w_{i} h_{i}+w_{n+i} h_{n+i}-A_{i}\right) \\
& +\sum_{1 \leq i \leq n+p} \alpha_{i}\left(r_{i} h_{i}-w_{i}\right)+\sum_{1 \leq i \leq n+p} \beta_{i}\left(w_{i}-s_{i} h_{i}\right)
\end{aligned}
$$

where $\theta_{i} \in \Re, \sigma_{i} \in \Re, \alpha_{i} \geq 0$ and $\beta_{i} \geq 0$ denote the Lagrangian multipliers for the constraints in (C), (D), (E) and (F), respectively. According to the Kuhn-Tucker conditions [17], the first-order optimality
conditions for $L R S /(\vec{\lambda}, \vec{\mu})$ are as follows:

$$
\begin{align*}
\partial \xi / \partial w_{i}=0 & \text { for all } 1 \leq i \leq n+p  \tag{5}\\
\partial \xi / \partial h_{i}=0 & \text { for all } 1 \leq i \leq n+p  \tag{6}\\
\alpha_{i}\left(r_{i} h_{i}-w_{i}\right)=0 & \text { for all } 1 \leq i \leq n+p  \tag{7}\\
\beta_{i}\left(w_{i}-s_{i} h_{i}\right)=0 & \text { for all } 1 \leq i \leq n+p  \tag{8}\\
w_{i} h_{i}=A_{i} & \text { for all } p+1 \leq i \leq n  \tag{9}\\
w_{i} h_{i}+w_{n+i} h_{n+i}=A_{i} & \text { for all } 1 \leq i \leq p \tag{10}
\end{align*}
$$

### 4.2 Optimality Condition for Rectangular Blocks

Consider a module $M_{i}$ where $p+1 \leq i \leq n$, i.e., a rectangular module. The fi rst-order optimality conditions for this module are:

$$
\begin{array}{r}
\lambda_{i}+\theta_{i} h_{i}-\alpha_{i}+\beta_{i}=0 \\
\mu_{i}+\theta_{i} w_{i}+r_{i} \alpha_{i}-s_{i} \beta_{i}=0 \\
\alpha_{i}\left(r_{i} h_{i}-w_{i}\right)=0 \\
\beta_{i}\left(w_{i}-s_{i} h_{i}\right)=0 \\
w_{i} h_{i}=A_{i} \tag{15}
\end{array}
$$

where (11) and (12) follow from (5) and (6), respectively.
There are 3 cases for the values of $h_{i}$ and $w_{i}$ according to the values of $\alpha_{i}$ and $\beta_{i}$ :
Case 1: $\alpha_{i}=0$ and $\beta_{i}=0$. From (11), $h_{i}=-\lambda_{i} / \theta_{i}$. From (12), $w_{i}=-\mu_{i} / \theta_{i}$. Eliminating $\theta_{i}$, $h_{i}=w_{i} \lambda_{i} / \mu_{i}$. Substituting $h_{i}$ into (15), $w_{i}^{2} \lambda_{i} / \mu_{i}=A_{i}$. Therefore, $w_{i}=\sqrt{A_{i} \mu_{i} / \lambda_{i}}$.

Case 2: $\alpha_{i} \neq 0$ and $\beta_{i}=0$. Equation (13) implies that $w_{i}=r_{i} h_{i}$. Substituting $h_{i}$ into (15), $w_{i}=\sqrt{A_{i} r_{i}}$.
Case 3: $\alpha_{i}=0$ and $\beta_{i} \neq 0$. Equation (14) implies that $w_{i}=s_{i} h_{i}$. Substituting $h_{i}$ into (15), $w_{i}=\sqrt{A_{i} s_{i}}$.
Note that the case $\alpha_{i} \neq 0$ and $\beta_{i} \neq 0$ is impossible since equation (13) and (14) cannot be satisfi ed simultaneously.

By combining the three cases, it is not diffi cult to see that

$$
w_{i}=\min \left\{\sqrt{A_{i} s_{i}}, \max \left\{\sqrt{A_{i} r_{i}}, \sqrt{A_{i} \mu_{i} / \lambda_{i}}\right\}\right\}
$$

Once $w_{i}$ is found, $h_{i}$ is given by $A_{i} / w_{i}$.

### 4.3 Optimality Conditions for Non-Rectangular Modules

Consider a module $M_{i}$ where $1 \leq i \leq p$, i.e., a module that can possibly become non-rectangular in shape. The fir rst-order optimality conditions for this module and its sub-block $M_{n+i}$ are:

$$
\begin{align*}
\lambda_{i}+\sigma_{i} h_{i}-\alpha_{i}+\beta_{i} & =0  \tag{16}\\
\mu_{i}+\sigma_{i} w_{i}+r_{i} \alpha_{i}-s_{i} \beta_{i} & =0 \tag{17}
\end{align*}
$$

$$
\begin{array}{r}
\lambda_{n+i}+\sigma_{i} h_{n+i}-\alpha_{n+i}+\beta_{n+i}=0 \\
\mu_{n+i}+\sigma_{i} w_{n+i}+r_{n+i} \alpha_{n+i}-s_{n+i} \beta_{n+i}=0 \\
\alpha_{i}\left(r_{i} h_{i}-w_{i}\right)=0 \\
\beta_{i}\left(w_{i}-s_{i} h_{i}\right)=0 \\
\alpha_{n+i}\left(r_{n+i} h_{n+i}-w_{n+i}\right)=0 \\
\beta_{n+i}\left(w_{n+i}-s_{n+i} h_{n+i}\right)=0 \\
w_{i} h_{i}+w_{n+i} h_{n+i}=A_{i} \tag{24}
\end{array}
$$

where (16) and (17) follow from (5) and (6), respectively. For a given pair of $\vec{\lambda}$ and $\vec{\mu}, \lambda_{i}, \mu_{i}, \lambda_{n+i}$ and $\mu_{n+i}$ are known. Therefore, we need to solve a system $\Gamma$ of nine non-linear equations with nine unknowns ( $h_{i}$, $w_{i}, h_{n+i}, w_{n+i}, \sigma_{i}, \alpha_{i}, \beta_{i}, \alpha_{n+i}$ and $\left.\beta_{n+i}\right)$. Fortunately, they can be solved by closed form equations as described in the following.

There are 3 cases for the values of $h_{i}$ and $w_{i}$ according to the values of $\alpha_{i}$ and $\beta_{i}$ :
Case 1: $\alpha_{i}=0$ and $\beta_{i}=0$. This case occurs when $r_{i} \leq \frac{\mu_{i}}{\lambda_{i}} \leq s_{i}$. From equation (16) and (17),

$$
h_{i}=\frac{-\lambda_{i}}{\sigma_{i}} \quad w_{i}=\frac{-\mu_{i}}{\sigma_{i}}
$$

Case 2: $\alpha_{i} \neq 0$ and $\beta_{i}=0$. This case occurs when $\frac{\mu_{i}}{\lambda_{i}} \leq r_{i}$. From equation (16), (17) and (20),

$$
h_{i}=\frac{-\lambda_{i} r_{i}-\mu_{i}}{2 \sigma_{i} r_{i}} \quad w_{i}=\frac{-\lambda_{i} r_{i}-\mu_{i}}{2 \sigma_{i}}
$$

Case 3: $\alpha_{i}=0$ and $\beta_{i} \neq 0$. This case occurs when $\frac{\mu_{i}}{\lambda_{i}} \geq s_{i}$. From equation (16), (17) and (21),

$$
h_{i}=\frac{-\lambda_{i} s_{i}-\mu_{i}}{2 \sigma_{i} s_{i}} \quad w_{i}=\frac{-\lambda_{i} s_{i}-\mu_{i}}{2 \sigma_{i}}
$$

Note that the case that $\alpha_{i} \neq 0$ and $\beta_{i} \neq 0$ is impossible since equation (20) and (21) cannot be satisfi ed simultaneously. Similarly, we can write $w_{n+i}$ and $h_{n+i}$ in terms of $\lambda_{n+i}, \mu_{n+i}, r_{n+i}, s_{n+i}$ and $\sigma_{i}$ according to the values of $\alpha_{n+i}$ and $\beta_{n+i}$ :

Case 1: $\alpha_{n+i}=0$ and $\beta_{n+i}=0$. This case occurs when $r_{n+i} \leq \frac{\mu_{n+i}}{\lambda_{n+i}} \leq s_{n+i}$. From equation (18) and (19),

$$
h_{n+i}=\frac{-\lambda_{n+i}}{\sigma_{i}} \quad w_{n+i}=\frac{-\mu_{n+i}}{\sigma_{i}}
$$

Case 2: $\alpha_{n+i} \neq 0$ and $\beta_{n+i}=0$. This case occurs when $\frac{\mu_{n+i}}{\lambda_{n+i}} \leq r_{n+i}$. From equation (18), (19) and (22),

$$
h_{n+i}=\frac{-\lambda_{n+i} r_{n+i}-\mu_{n+i}}{2 \sigma_{i} r_{n+i}} \quad w_{n+i}=\frac{-\lambda_{n+i} r_{n+i}-\mu_{n+i}}{2 \sigma_{i}}
$$

Case 3: $\alpha_{n+i}=0$ and $\beta_{n+i} \neq 0$. This case occurs when $\frac{\mu_{n+i}}{\lambda_{n+i}} \geq s_{n+i}$. From equation (18), (19) and (23),

$$
h_{n+i}=\frac{-\lambda_{n+i} s_{n+i}-\mu_{n+i}}{2 \sigma_{i} s_{n+i}} \quad w_{n+i}=\frac{-\lambda_{n+i} s_{n+i}-\mu_{n+i}}{2 \sigma_{i}}
$$

Similarly, the case that $\alpha_{n+i} \neq 0$ and $\beta_{n+i} \neq 0$ is impossible since equation (22) and (23) cannot be satisfi ed simultaneously. Therefore, in any combination of the above cases, we can write $h_{i}, w_{i}, h_{n+i}$ and $w_{n+i}$ in terms of $\sigma_{i}$. (Note that $\lambda_{i}, \lambda_{n+i}, \mu_{i}, \mu_{n+i}, r_{i}, s_{i}, r_{n+i}$ and $s_{n+i}$ are known.) We can substitute these expressions into equation (24) and solve $\sigma_{i}$. Finally, we will substitute back the value of $\sigma_{i}$ into the expressions for $h_{i}, w_{i}, h_{n+i}$ and $w_{n+i}$ and compute their values.

### 4.4 Solving LRS

The algorithm LRS below outlines the steps to solve the Lagrangian relaxation subproblem $L R S /(\vec{\lambda}, \vec{\mu})$ given a pair of $\vec{\lambda}$ and $\vec{\mu}$ satisfying the optimality condition.

```
Algorithm LRS
/* This algorithm solves LRS \(/(\vec{\lambda}, \vec{\mu})\) optimally given a pair of \((\vec{\lambda}, \vec{\mu}) \in \Omega *\)
Input: \(\quad\) Areas \(A_{1}, A_{2}, \ldots, A_{n}\)
        Lower bounds on aspect ratios \(r_{1}, r_{2}, \ldots, r_{n^{\prime}}\)
        Upper bounds of aspect ratios \(s_{1}, s_{2}, \ldots, s_{n^{\prime}}\)
        Constraint graphs \(G_{v}^{\prime}\) and \(G_{h}^{\prime}\)
        Lagrange multipliers \((\vec{\lambda}, \vec{\mu}) \in \Omega\)
Output: \(\quad w_{1}, w_{2}, \ldots, w_{n^{\prime}}, h_{1}, h_{2}, \ldots, h_{n^{\prime}}\)
1. For \(i=p+1\) to \(n\)
2. \(\quad\) Compute \(L_{i}=\sqrt{A_{i} r_{i}}\) and \(U_{i}=\sqrt{A_{i} s_{i}}\)
3. Compute \(\lambda_{i}=\sum_{e(i, j) \in G_{h}^{\prime}} \lambda_{i, j}\)
4. Compute \(\mu_{i}=\sum_{e(i, j) \in G_{v}^{\prime}} \mu_{i, j}\)
5. If \(\left(\lambda_{i} \neq 0\right)\) and \(\left(\mu_{i} / \lambda_{i} \geq 0\right)\)
6. \(\quad\) Compute \(w^{*}=\sqrt{A_{i} \mu_{i} / \lambda_{i}}\)
7. \(w_{i}=\min \left\{U_{i}, \max \left\{L_{i}, w^{*}\right\}\right\}, h_{i}=A_{i} / w_{i}\)
8. For \(i=1\) to \(p\)
9. Compute \(\lambda_{i}=\sum_{e(i, j) \in G_{h}^{\prime}} \lambda_{i, j}\)
10. Compute \(\mu_{i}=\sum_{e(i, j) \in G_{v}^{\prime}} \mu_{i, j}\)
11. Compute \(\lambda_{n+i}=\sum_{e(n+i, j) \in G_{h}^{\prime}} \lambda_{n+i, j}\)
12. Compute \(\mu_{n+i}=\sum_{e(n+i, j) \in G_{v}^{\prime}} \mu_{n+i, j}\)
13. Compute \(\sigma_{i}, h_{i}, w_{i}, h_{n+i}\) and \(w_{n+i}\) from the values of \(\lambda_{i}, \mu_{i}, \lambda_{n+i}\) and \(\mu_{n+i}\)
        according to the cases described in Section 4.3.
```


### 4.5 Solving $L D P$

As explained above, we only need to consider those $(\vec{\lambda}, \vec{\mu}) \in \Omega$ in order to maximize $Q(\vec{\lambda}, \vec{\mu})$ in the $L D P$ problem. We used a subgradient optimization method to search for these optimal pairs of $\vec{\lambda}$ and $\vec{\mu}$. Starting
from an arbitrary $(\vec{\lambda}, \vec{\mu}) \in \Omega$ in step $k$, we will move to a new pair $\left(\overrightarrow{\lambda^{\prime}}, \overrightarrow{\mu^{\prime}}\right)$ by following the subgradient direction:

$$
\begin{aligned}
\lambda_{i, j}^{\prime} & =\left[\lambda_{i, j}+\rho_{k}\left(x_{i}+w_{i}-x_{j}\right)\right]^{+} \\
\mu_{i, j}^{\prime} & =\left[\mu_{i, j}+\rho_{k}\left(y_{i}+h_{i}-y_{j}\right)\right]^{+}
\end{aligned}
$$

where

$$
[x]^{+}= \begin{cases}x & \text { if } x>0 \\ 0 & \text { if } x \leq 0 .\end{cases}
$$

and $\rho_{k}$ is a step size such that $\lim _{k \rightarrow \infty} \rho_{k}=0$ and $\sum_{k=1}^{\infty} \rho_{k}=\infty$. After updating $\vec{\lambda}$ and $\vec{\mu}$, we will project ( $\overrightarrow{\lambda^{\prime}}, \overrightarrow{\mu^{\prime}}$ ) back to the nearest point $\left(\overrightarrow{\lambda^{*}}, \overrightarrow{\mu^{*}}\right)$ in $\Omega$ using a 2 -norm measure and solve the Lagrangian relaxation subproblem $L R S /\left(\overrightarrow{\lambda^{*}}, \overrightarrow{\mu^{*}}\right)$ again using the algorithm LRS. These steps are repeated until the solution converges. The following algorithm summarizes the steps to solve the $L D P$ problem:

## Algorithm LDP

/* This algorithm solves the LDP problem optimally. */
Input: Areas $A_{1}, A_{2}, \ldots, A_{n}$
Lower bounds on aspect ratios $r_{1}, r_{2}, \ldots, r_{n^{\prime}}$
Upper bounds on aspect ratios $s_{1}, s_{2}, \ldots, s_{n^{\prime}}$
Constraint graphs $G_{v}^{\prime}$ and $G_{h}^{\prime}$
Output: $w_{1}, w_{2}, \ldots, w_{n^{\prime}}, h_{1}, h_{2}, \ldots, h_{n^{\prime}}$

1. Initialize $(\vec{\lambda}, \vec{\mu})$ and $\rho_{1}$
2. Project $(\vec{\lambda}, \vec{\mu})$ to $\left(\overrightarrow{\lambda^{*}}, \overrightarrow{\mu^{*}}\right)$ such that $\left(\overrightarrow{\lambda^{*}}, \overrightarrow{\mu^{*}}\right) \in \Omega$
3. $k=1$
4. $(\vec{\lambda}, \vec{\mu})=\left(\overrightarrow{\lambda^{*}}, \overrightarrow{\mu^{*}}\right)$
5. Repeat
6. Call LRS() with $(\vec{\lambda}, \vec{\mu})$
7. Compute $\left(x_{i}, y_{i}\right) \forall 1 \leq i \leq n^{\prime}+1$ from $G_{v}^{\prime}$ and $G_{h}^{\prime}$ using the longest path algorithm
8. Compute $\lambda_{i, j}^{\prime}=\left[\lambda_{i, j}+\rho_{k}\left(x_{i}+w_{i}-x_{j}\right)\right]^{+} \forall e(i, j) \in G_{h}^{\prime}$
9. Compute $\mu_{i, j}^{\prime}=\left[\mu_{i, j}+\rho_{k}\left(y_{i}+h_{i}-y_{j}\right)\right]^{+} \forall e(i, j) \in G_{v}^{\prime}$
10. Project $\left(\overrightarrow{\lambda^{\prime}}, \overrightarrow{\mu^{\prime}}\right)$ to $\left(\overrightarrow{\lambda^{*}}, \overrightarrow{\mu^{*}}\right)$ s.t. $\left(\overrightarrow{\lambda^{*}}, \overrightarrow{\mu^{*}}\right) \in \Omega$
11. $k=k+1$
12. $(\vec{\lambda}, \vec{\mu})=\left(\overrightarrow{\lambda^{*}}, \overrightarrow{\mu^{*}}\right)$
13. Until $h_{i}$ and $w_{i} \forall 1 \leq i \leq n^{\prime}+1$ converge

## 5 Experimental Results

We tested our method using the MCNC benchmarks. The size of each benchmark data is shown in Table 1. In each experiment, we fir rst generated a preliminary fborplan using a simulated annealing method. In this initial fborplanning step, equal weighting were given to the area term and the wirelength term in the cost

| Data Set | Number of Modules | Number of Nets |
| :---: | :---: | :---: |
| xerox | 10 | 203 |
| hp | 11 | 83 |
| ami33 | 33 | 123 |
| ami49 | 49 | 408 |

Table 1: Testing data sets

| Benchmark | Deadspace in <br> preliminary <br> fborplan <br> $(\%)$ | Area <br> Re-used <br> $(\%)$ | Change <br> in Total <br> Wirelength <br> $(\%)$ | Time |
| :---: | :---: | :---: | :---: | :---: |
| $(\mathrm{sec})$ |  |  |  |  |

Each result is obtained by taking the average of running an experiment five times.

Table 2: Shaping and sizing results
function, where the wirelength is computed using the half-perimeter estimation method assumming that the pins are located at the center of the module. After obtaining a preliminary fborplan, we selected some soft modules lying in the neighborhood of some large empty spaces into the set $S$. These were the modules that would possibly become non-rectangular in shape to fill up the spaces. In our current implementation, we would select those modules which were also lying on the critical paths of the constraint graphs. The constraint graphs were then modifi ed to include the new sub-blocks of the selected modules and to restrict their positions so that they would fill up the empty spaces and abut with their corresponding main blocks. After these pre-processing steps, we performed shaping and sizing on the modules using the Lagrangian relaxation technique as described in section 4.

In all the experiments, the minimum and maximum aspect ratios of the soft modules were 0.5 and 2.0 respectively, while those for the sub-blocks were 0.1 and 10.0 respectively. We limited the aspect ratio of the fi nal packing to the range of 0.9 to 1.1 . All the results were generated using a 600 MHz Pentium III processor, and were shown in Table 2. Experimental results show that our shaping and sizing technique is useful in re-using empty spaces by changing some soft modules to non-rectangular in shape, while keeping the relative positions between the modules unchanged. We can see that the total wirelength is reduced on average because of the more compacted result packing. Notice that after shaping and sizing, the pins of an L-shaped module are assummed to be located at the center of the major sub-block. Figure 4, 5, 6, 7 and 8 show the preliminary fborplans and the fborplans after shaping and sizing for some of the experiments.

## 6 Remarks

In this paper, we only handle the case when the non-rectangular modules have at most two rectangular subblocks. However our approach can be extended to more than two sub-blocks directly. If each non-rectangular module has up to $k$ rectangular sub-blocks, the system of equations $\Gamma$ will have $4 k+1$ unknowns in $4 k+1$ non-linear equations, and can still be solved by closed form equations by considering three possible cases for the size of each rectangular sub-block.

## 7 Conclusion

We presented an effi cient method to post-process a fborplan solution to further optimize its area usage by changing some soft modules to non-rectangular in shape to fill up the empty spaces. The total wirelength can also be reduced because of the more compacted result packing. This technique will also be useful in fi xing small incremental changes during ECO modifi cations. Our approach is based on an elegant closedform solutions to a mathematical program using the Lagrangian relaxation technique. Experimental results on the MCNC benchmarks have demonstrated its effectiveness in post-processing a fborplan solution in a very fexible way.

(a) Preliminary floorplan. Deadspace $=6.38 \%$

(b) After sizing and shaping. Deadspace $=0.60 \%$

Figure 4: Data set xerox (3)

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Figure 5: Data set hp (1)


Figure 6: Data set ami33 (3)
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(b) After sizing and shaping. Deadspace $=2.09 \%$

Figure 7: Data set ami33 (5)


Figure 8: Data set ami49 (1)
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